CYNGOR
Sir Ddinbych
Denbighshire
COUNTY COUNCIL

At: Gadeirydd ac Aelodau'r Pwyllgor Archwilio Perfformiad

Dyddiad: Dydd Gwener, 14 Mehefin 2013

Rhif Union: 01824 712554

ebost: dcc_admin@denbighshire.gov.uk

Annwyl Gynghorydd

Fe'ch gwahoddir i fynychu cyfarfod y **PWYLLGOR ARCHWILIO PERFFORMIAD, DYDD IAU, 20 MEHEFIN 2013** am **9.30 am** yn **YSTAFELL BWLLGOR 1A, NEUADD Y SIR, RHUTHUN**.

Yn gywir iawn

G Williams
Pennaeth Gwasanaethau Cyfreithiol a Democrataidd

AGENDA

RHAN 1 – GWAHODDIR Y WASG A'R CYHOEDD I FYNYCHU'R RHAN HON O'R CYFARFOD

1   **YMDDIHEURIADAU**

2   **DATGAN CYSYLLTIAD**

Dylai Aelodau ddatgan unrhyw gysylltiadau personol neu gysylltiad sy'n rhagfarnu gydag unrhyw fater a nodwyd y dylid eu hystyried yn y cyfarfod hwn.

Prif Weithredwr / Chief Executive Mohammed Mehmet PhD BSc
Prif Swyddfeydd Y Cyngor/Council Offices, Rhuthun/Ruthin, Sir Ddinbych/Denbighshire LL15 1YN.
Ffôn/Tel (01824) 706234 Ffacs/Fax (01824) 707446

3   MATERION BRYS FEL Y'U CYTUNWYD GAN Y CADEIRYDD

Hysbysiad o eitemau y dylid, ym marn y Cadeirydd, eu hystyried yn y cyfarfod fel materion brys dan Adran 100B(4) Deddf Llywodraeth Leol 1972.

4   COFNODION Y CYFARFOD DIWETHAF (Tudalennau 5 - 14)

Derbyn cofnodion cyfarfod y Pwyllgor Archwilio Perfformiad a gynhaliwyd ar 24 Mai 2013 (copi ynghlwm).

5   CYNLLUN GWEITHREDU ESTYN (Tudalennau 15 - 22)

Ystyried adroddiad y Pennaeth Addysg a Rheolwr Partneriaethau a Chymunedau (copi ynghlwm) ynglŷn â monitro'r cynnydd o ran y ddau argymhelliad a nodwyd yn Arolwg Estyn o Ansawdd Gwasanaethau Addysg 2012.

9.40am – 10.15am

6   ADRODDIAD CYNNYDD CHWARTEROL Y CYNLLUN CORFFORAETHOL: CHWARTER 4 2012/13 (Tudalennau 23 - 46)

Ystyried adroddiad y Rheolwr Gwelliannau Corfforaethol (copi ynghlwm) ynglŷn â monitro cynnydd y Cyngor o ran darparu Cynllun Corfforaethol 2012 – 17.

10.15am – 10.45am

~ ~ ~ ~ ~ EGWYL (10.45am – 11.00am) ~ ~ ~ ~ ~

7   COFRESTR RISG CORFFORAETHOL (Tudalennau 47 - 66)

Ystyried adroddiad y Rheolwr Gwelliannau Corfforaethol (copi ynghlwm) ynglŷn ag adolygu fersiwn ddiweddaraf Cofrestr Risg Corfforaethol y Cyngor yn dilyn adolygiad ffurfiol y Tîm Gweithredu Corfforaethol.

11.00am – 11.30am

8   RHAGLEN WAITH ARCHWILIO (Tudalennau 67 - 84)

Ystyried adroddiad y Cydlynydd Archwilio (copi ynghlwm) ynglŷn ag adolygu rhaglen waith y pwyllgor a darparu'r wybodaeth ddiweddaraf i aelodau ar faterion perthnasol.

11.30am – 12.00pm

9   ADBORTH GAN GYNRYCHIOLWYR Y PWYLLGOR

Derbyn yr wybodaeth ddiweddaraf gan gynrychiolwyr y Pwyllgor sy'n aelodau o Fyrddau a Grwpiau'r Cyngor.

12.00pm – 12.10pm

AELODAETH

Y Cynghorwyr

William Cowie	Peter Owen
Meirick Davies	Dewi Owens
Richard Davies	Arwel Roberts
Colin Hughes	Gareth Sandilands
Geraint Lloyd-Williams	David Simmons

Aelodau Cyfetholedig dros Addysg sy'n Pleidleisio (Rhifau Eitemau Agenda 5 yn unig)

Ms C Burgess	Ms D Houghton
Mrs G Greenland	Dr D Marjoram

COPIAU I'R:

Holl Gynghorwyr er gwybodaeth
Y Wasg a'r Llyfrgelloedd
Cynghorau Tref a Chymuned

Mae tudalen hwn yn fwriadol wag

PWYLLGOR ARCHWILIO PERFFORMIAD

Minutes of a meeting of the Pwyllgor Archwilio Perfformiad held in Conference Room 1a, County Hall, Ruthin on Dydd Gwener, 24 Mai 2013 at 10.00 am.

PRESENT

Cynghorwyr William Cowie, Meirick Davies, Richard Davies, Dewi Owens, Arwel Roberts a/ac Gareth Sandilands

ALSO PRESENT

Cyfarwyddwr Corfforaethol: Moderneiddio a Lles (SE), Pennaeth Archwilio Mewnol (IB), Pennaeth Gwasanaethau Oedolion a Busnes (PG), Pennaeth Cwsmeriaid a Chefnogaeth Addysg (JW), Rheolwr Tîm Gwella Corfforaethol (TW), Rheolwr Gwasanaeth: Ansawdd a Datblygu Systemau (CM), Rheolwr Gwasanaeth Gofal Iechyd Cefndy/Datblygu Busnes (DHL), Swyddog Cwynion Corfforaethol (CO), Swyddfa Archwilio Cymru (GB) Cydlynydd Archwilio (RE) a Swyddog Gweinyddol (CIW).

1   **YMDDIHEURIADAU**

Derbyniwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr Colin Hughes, Geraint Lloyd-Williams, Peter Owen a/ac David Simmons

2   **PENODI IS-GADEIRYDD**

Roedd y Cynghorydd A Roberts wedi cylchredeg ei CV i aelodau'r Pwyllgor cyn y cyfarfod. Enwebwyd y Cynghorydd Roberts ac fe'i eiliwyd ar gyfer swydd yr Is-Gadeirydd, ni dderbyniwyd enwebiadau eraill a:

PENDERFYNWYD - y dylid penodi'r Cynghorydd A. Roberts fel Is-Gadeirydd y Pwyllgor Archwilio Perfformiad am y flwyddyn nesaf.

Yn absenoldeb y cadeirydd, y Cynghorydd D. Simmons, yr Is-Gadeirydd, y Cynghorydd A. Roberts gadeiriodd y cyfarfod.

3   **DATGAN CYSYLLTIAD**

Ni ddatganodd unrhyw Aelod unrhyw gysylltiad personol neu ragfarnllyd ag unrhyw fusnes oedd am gael ei ystyried yn y cyfarfod.

4   **MATERION BRYS FEL Y'U CYTUNWYD GAN Y CADEIRYDD**

Ni chodwyd unrhyw eitemau y dylid, ym marn y Cadeirydd, eu hystyried yn y cyfarfod fel materion brys dan Adran 100B(4) Deddf Llywodraeth Leol 1972.

5   COFNODION Y CYFARFOD DIWETHAF

Cyflwynwyd Cofnodion cyfarfod y Pwyllgor Archwilio Perfformiad a gynhaliwyd Ddydd Iau 11 Ebrill, 2013.

Materion yn codi:-

5. Adroddiad Cynnydd Chwarterol y Cynllun Corfforaethol: Chwarter 3 2012/13 – Yn dilyn y sesiwn friffio a gynhaliwyd cyn y cyfarfod am Adroddiadau Chwarterol Perfformiad y Cyngor, cytunodd y Pwyllgor bod y broses sydd wedi'i gosod yn addas ac yn briodol o heriol.   Cadarnhaodd Aelod Arweiniol Moderneiddio a Pherfformiad i'r Aelodau y byddai'r adroddiadau chwarterol perfformiad yn cael eu cyflwyno i archwilio cyn eu cyflwyno i'r Cabinet er mwyn sicrhau bod y wybodaeth yn cael ei herio'n drylwyr ymhob lefel.

Cadarnhaodd y Cydlynydd Archwilio byddai adroddiad cynnydd mewn perthynas â gostwng ymyl palmentydd yn cael ei gylchredeg pan fo wedi'i orffen.   Eglurodd bod nodyn briffio'n manylu'r cynnydd a wnaed yn y cyfarfodydd grŵp craidd cychwynnol yn ystod deg diwrnod cyntaf cynhadledd gyntaf amddiffyn plant wedi'u cynnwys yn y Briff Gwybodaeth a gafodd ei gylchredeg cyn y cyfarfod.

Mewn ymateb i gwestiwn gan y Cynghorydd D. Owens, eglurodd y Cydlynydd Archwilio bod eitem yn ymwneud â chynnydd datblygiad TCC yn Sir Ddinbych wedi'i chynnwys yn Rhaglen Gwaith i'r Dyfodol y Pwyllgor ar gyfer mis Hydref 2013. Byddai'r arddodiad yn rhoi manylion am y cynnydd a wnaed wrth ddatblygu TCC y Cyngor yn dilyn peidio â pharhau a'r prosiect Cydweithio Rhanbarthol.   Byddai'n amlygu'r lleihad mewn costau a'r dulliau o greu incwm gan ddarparu TCC yn deg ledled y Sir.   Cytunodd y Cydlynydd Archwilio i holi a all yr adroddiad roi manylion am gyfraniad ariannol yr Heddlu at y gwasanaeth a dadansoddiad o'r buddion ariannol/adnoddau a wireddwyd o ganlyniad i ddefnyddio'r gwasanaeth.

[RE i holi]

PENDERFYNWYD – y dylid, yn amodol ar yr uchod, dderbyn a chymeradwyo'r Cofnodion fel cofnod cywir.

RHAN II

 EITHRIO'R WASG A'R CYHOEDD

>   **PENDERFYNWYD -** *dan ddarpariaethau Adran 100a(4) Deddf Llywodraeth Leol 1972 i wahardd y Wasg a'r Cyhoedd o'r cyfarfod ar gyfer yr eitemau a ganlyn, ar y sail ei bod yn debygol y bydd gwybodaeth eithriedig yn cael ei datgelu, fel y'i diffinnir ym mharagraff 14 Atodlen 12a Deddf Llywodraeth Leol 1972.*

6   MENTRAU CEFNDY

Roedd copi o adroddiad cyfrinachol gan Reolwr Gwasanaeth Gofal Iechyd/Datblygiad Busnes Cefndy, oedd yn manylu ar berfformiad Cefndy yn y gorffennol ac yn amlygu'r anghenraid am fuddsoddiad yn y dyfodol, wedi'i gylchredeg gyda phapurau'r cyfarfod.

Darparodd y Cynghorydd R.L. Feeley fanylion i'r Pwyllgor am berfformiad Cefndy yn y gorffennol a'r presennol, targedau ar gyfer y dyfodol a'r prif sialensiau fydd o'u blaen o ganlyniad i golli cyllid Adran Gwaith a Phensiynau.

Eglurodd Pennaeth Gwasanaethau Oedolion a Busnes er bod perfformiad ariannol a'r gwasanaeth wedi bod yn dda roedd cyflawni targedau Cefndy yn golygu ei fod wedi rhwystro'r gwasanaeth rhag buddsoddi yn isadeiledd y ffatri.   Eglurodd y byddai'r Cabinet yn ystyried cynnig i dynnu arian wrth gefn cyfalaf y Cynllun Corfforaethol i lawr i fuddsoddi mewn offer/peirianwaith i gymryd lle'r hen offer cyfredol ym mis Gorffennaf.   Mae Sir Ddinbych wedi ffurfio ymrwymiad yn ei Gynllun Corfforaethol i gefnogi ei fodolaeth yn y dyfodol drwy gymeradwyo buddsoddiad cyfalaf.

Gofynnwyd i Archwilio ystyried y canlynol am y sefydliad:-

-       Eu perfformiad wrth gyflawni eu Cynllun Busnes ar gyfer 2012/13;
-       Eu Cynllun Busnes ar gyfer 2013/14;
-       Y risgiau a ganfuwyd mewn perthynas â lleihad cymhorthdal a chyllid Adran Gwaith a Phensiynau a'r effaith o ganlyniad ar y gweithlu a'r Cyngor; a
-       Materion iechyd a diogelwch

Gweledigaeth Cefndy ar gyfer y dyfodol oedd bod yn hunangynhaliol a chadarn yn eu trefn lywodraethol.   Roedd Gofal Iechyd Cefndy yn fusnes wedi'i gefnogi gan Sir Ddinbych oedd yn darparu cyflogaeth a hyfforddiant i dros 60 o bobl, ac roedd gan 45 ohonynt anabledd.   Roedd manylion am hanes a chyfraniad Cefndy i gyflogaeth a hyfforddiant lleol wedi'u cynnwys yn yr adroddiad.   Yn y 5 mlynedd diwethaf mae Cefndy wedi gwrthdroi'r duedd o gynyddu dibyniaeth ar gefnogaeth ariannol Sir Ddinbych, drwy ddarparu twf masnachol ac effeithlonrwydd ariannol ac mae copi o'r Cynllun Busnes wedi'i gynnwys fel Atodiad 1.

Yn ystod y pum mlynedd ddiwethaf roedd Cefndy wedi:
•   Cynyddu perfformiad gwerthiant o dros £1 miliwn i £3.8 miliwn erbyn hyn.
•   Lleihau eu dibyniaeth ar Gyngor Sir Ddinbych o £225 mil.
•   Wedi bod ar darged i gyflawni'r amcan allweddol o gostio dim i'r Cyngor.
•   Wedi cael cofnod ardderchog o reoli Iechyd a Diogelwch, drwy weithio gydag Iechyd a Diogelwch Corfforaethol a gyda phrosesau mewnol cadarn yn unol â'r gofynion cyfreithiol.

Mae'r sialensiau sydd o flaen y sefydliad yn awr yn effeithio ar gynaladwyedd y busnes/gwasanaeth a gallai fygwth bodolaeth Cefndy onid ydynt yn delio â hyn yn y dyfodol agos.   Mae'r sialensiau hyn wedi gwaethygu'n dilyn newidiadau lles Llywodraeth y DU yn ddiweddar.   Nodwyd tri opsiwn i'w hystyried gan y Pwyllgor gyda'r bwriad o ddiogelu dyfodol y busnes.   Bu'r Aelodau'n ystyried yr opsiynau a phenderfynwyd argymell y dylid cefnogi Opsiwn C – oedd yn cynnig tynnu buddsoddiad cyfalaf i lawr sydd wedi'i amlinellu yng Nghynllun Corfforaethol y

Cyngor fyddai'n galluogi'r busnes ddelio â'r risgiau o'u blaenau a'u galluogi i fod yn fwy effeithlon a delio â cholli cyllid yr Adran Waith a Phensiynau ac yn y diwedd byddai'n arwain at gynaladwyedd ariannol heb gyllideb gan Sir Ddinbych.

Eglurodd y Cyfarwyddwr Corfforaethol: Moderneiddio a Lles ei bod wedi trafod goblygiadau tynnu cyllid 'Dewis Gwaith' gyda'r AS a'r AC lleol.   Eglurodd y swyddogion bod y staff sy'n cael eu cyflogi yng Nghefndy yn dod o wahanol ardaloedd yn y Sir a thu hwnt iddi.   Darparwyd manylion am hysbysebu'r busnes a gwerthiant ar y we i'r Pwyllgor.   Yn dilyn trafodaeth fanwl:

PENDERFYNWYD -

> *(a) nodi cynnwys yr adroddiad; ac*
> (b) *argymell i'r Cabinet bod Opsiwn C – tynnu arian wrth gefn cyfalaf y Cynllun Corfforaethol i lawr i fuddsoddi mewn offer/peirianwaith i gymryd lle'r hen offer cyfredol – yn cael ei gymeradwyo fel y dewis a ffefrir, gyda'r bwriad o roi cymorth i'r busnes wynebu'r sialensiau o'u blaenau a bod yn fusnes sy'n gynaliadwy'n ariannol heb gyllid gan y Cyngor yn y dyfodol.*

[SE/PG/DHL i adrodd i'r Cabinet]

RHAN I

7   **ADRODDIAD GWELLIANT BLYNYDDOL: CYNGOR SIR DDINBYCH**

Roedd copi o Adroddiad Gwelliant Blynyddol Swyddfa Archwilio Cymru ar gyfer Sir Ddinbych wedi'i gylchredeg gyda'r papurau cyn y cyfarfod.   Cyflwynodd Rheolwr y Tîm Gwelliant Corfforaethol yr adroddiad oedd yn darparu gwybodaeth am yr Adroddiad Gwelliant Blynyddol diweddaraf ar gyfer Sir Ddinbych a hwn oedd yr adroddiad rheolaethol allanol allweddol oedd yn cael ei dderbyn yn y Cyngor bob blwyddyn.

Bob blwyddyn, mae'r Archwilydd Cyffredinol yn adrodd ynghylch pa mor dda y mae Cynghorau, Awdurdodau Tân ac Achub a Pharciau Cenedlaethol Cymru'n cynllunio ar gyfer gwelliant a darparu eu gwasanaethau. Gan ddefnyddio gwaith yr arolygiaethau perthnasol yng Nghymru, yn ogystal â gwaith a wnaed ar ran Swyddfa Archwilio Cymru, mae'r adroddiad hwn yn cyflwyno darlun o welliant dros y flwyddyn ddiwethaf.   Mae tair prif ran yr adroddiad yn cynnwys darpariaeth a hunanwerthuso gwasanaethau Sir Ddinbych mewn perthynas â 2011-12, a'r trefniadau cynllunio ar gyfer gwelliant 2012-13. Yn gyffredinol, casglodd yr Archwilydd Cyffredinol bod y Cyngor wedi gwneud cynnydd da yn gweithredu eu rhaglen welliant a ni wnaeth unrhyw argymhellion newydd eleni.   Ond roedd cwmpas i wella ansawdd rhai mesurau perfformiad a'r dystiolaeth y mae'r Cyngor yn ei defnyddio i benderfynu ar ei effeithlonrwydd. Eglurwyd bod yr adroddiad yn nodi'r cynnydd y mae'r Cyngor yn ei wneud i fynd i'r afael â'r argymhellion a'r cynigion i wella a wnaed mewn adroddiadau blaenorol.

Hysbyswyd y Pwyllgor bod copi drafft o'r Adroddiad Gwelliant Blynyddol wedi'i gyflwyno a'i drafod gyda'r Prif Weithredwr a bod adborth wedi'i ddarparu cyn i'r adroddiad gael ei orffen yn derfynol. Byddai'r Adroddiad Gwelliant Blynyddol yn cael ei gyflwyno i'r Pwyllgor Llywodraethu Corfforaethol ar 22 Mai 2013, ac i'r Cyngor ar 4 Mehefin 2013.

Gyda chymorth cyflwyniad PowerPoint (copi wedi'i gylchredeg yn y cyfarfod) darparodd y Cynrychiolydd o Swyddfa Archwilio Cymru grynodeb manwl o'r Adroddiad Gwelliant Blynyddol oedd yn cynnwys Cyfranwyr Asesu Perfformiad 2011-12, Canfyddiadau, Casgliadau, Canfyddiadau Perfformiad, Canfyddiadau Gwella Perfformiad a Chasgliadau Cyffredinol Asesu Perfformiad. Amlygodd y Cynrychiolydd o Swyddfa Archwilio Cymru'r prif feysydd canlynol yn yr adroddiad:-

- Perfformiad wedi parhau i wella yn y rhan fwyaf o agweddau o waith y Cyngor i addasu darpariaeth gwasanaeth i ddelio â newid demograffig.
- Uchelgais y Cyngor wedi'i phwysleisio drwy osod targedau heriol.
- Estyn wedi penderfynu bod y Cyngor yn darparu gwasanaethau addysg o ansawdd da i blant a phobl ifanc, gyda rhagolygon da ar gyfer gwella ymhellach
- Rhaglen y Cyngor i wella ffyrdd wedi gwneud cynnydd.
- Trefniadau rheoli perfformiad corfforaethol wedi bod yn ddigonol. Ond, roedd cwmpas i wella ansawdd ychydig o'r dystiolaeth er mwyn pennu ei effeithiolrwydd.
- Y Cyngor wedi gwneud cynnydd da wrth ddarparu rhaglen welliant.
- Roedd y trefniadau i gefnogi gwelliant yn dda gyda chysylltiadau da rhwng Cynllun Ariannol Tymor Canolog y Cyngor a'r Cynllun Corfforaethol.

Ar sail y canfyddiadau a'r casgliadau hyn ni wnaeth yr Archwilydd Cyffredinol unrhyw argymhellion newydd eleni.

Cynghorodd y Cynrychiolydd o Swyddfa Archwilio Cymru bod cynnydd y Cyngor wrth ddarparu tai cyngor o Safon Ansawdd Tai Cymru erbyn dyddiad terfyn Llywodraeth Cymru wedi'i hadrodd yn dda yn fewnol, ond ddim yn allanol. Ychydig o adrodd a fu am berfformiad gwaith ar y cyd hefyd. Byddai mwy o adrodd am berfformiad yr ardal, yn enwedig y gwersi a ddysgwyd, yn gymorth i awdurdodau eraill wrth ystyried dechrau trefniadau ar y cyd. Un maes lle yr oedd Sir Ddinbych ar ei hôl hi o gymharu â rhai awdurdodau lleol eraill oedd derbyn taliadau uniongyrchol, ond roedd y Cyngor yn ceisio gwella eu perfformiad yn y maes hwn.

Eglurodd Rheolwr y Tîm Gwelliant Corfforaethol nad oedd yr holl ddangosyddion cenedlaethol wedi'u hadrodd gan eu bod wedi canolbwyntio'n benodol ar ddangosyddion oedd yn berthnasol i flaenoriaethau'r Cyngor a'r rhai oedd yn mesur beth oedd y trigolion am ei gael. Ond, derbyniodd nad oeddent wedi defnyddio'r mesurau priodol yn rhai o'r meysydd ond teimlai y byddai'r Cynllun Corfforaethol newydd yn delio â'r mater ac amlinellodd y gwaith oedd yn cael ei wneud ar hyn o bryd.

Ar ôl ystyried yr adroddiad:-

PENDERFYNWYD - derbyn Adroddiad Gwelliant Blynyddol Swyddfa Archwilio Cymru a nodi sylwadau ac arsylwadau'r Archwilydd Cyffredinol.

8   CWYNION PERFFORMIAD EICH LLAIS – CHWARTER 4

Roedd copi o adroddiad gan Bennaeth Cwsmeriaid a Chefnogaeth Addysg, oedd yn darparu dadansoddiad o'r adborth a dderbyniwyd drwy bolisi adborth cwsmeriaid Sir Ddinbych 'Eich Llais' ar gyfer Chwarter 4 2012/13, wedi'i gylchredeg gyda phapurau'r cyfarfod.

Cyflwynodd Pennaeth Cwsmeriaid a Chefnogaeth Addysg yr adroddiad ac eglurodd y cynnwys yn fanwl.   Roedd perfformiad cyffredinol y Cyngor wedi gwella yn y chwarter diwethaf gyda dim maes gwasanaeth wedi'u hamlygu'n GOCH yn chwarter 4. O'r 9 maes gwasanaeth oedd wedi derbyn cwynion yn chwarter 4, roedd 5 wedi ymateb i'r holl gwynion yn y targed corfforaethol.   Roedd ymatebion i gwynion Cam 1 wedi eu hanfon yn unol â'r terfynau amser oedd yn welliant o'i gymharu â'r chwarterau blaenorol fel y nodwyd yn yr adroddiad.   Roedd nifer y cwynion Cam 2 wedi lleihau yn ystod y chwarter ond bydd angen parhau i'w monitro yn ystod y cyfnodau nesaf.   Roedd cwynion Cam 3 wedi cynyddu ym mhob chwarter o ychydig dros 2% o gwynion yn cael eu hystyried gan yr Awdurdod yn Chwarter 1 i 3.5% yn Chwarter 4 a dylid adolygu'r rhain i ganfod a oedd rheswm penodol am y cynnydd ac a ellir cynnig unrhyw gymorth i'r gwasanaethau perthnasol.

Eglurodd Pennaeth Cwsmeriaid a Chefnogaeth Addysg yn dilyn argymhelliad y Pwyllgor ym mis Chwefror 2013, bod sampl o'r adborth a gafwyd yn ystod Chwarter 4 o'r Gwasanaethau Amgylcheddol a Phriffyrdd wedi'i gymryd a bod manylion y canfyddiadau wedi'u cynnwys yn yr adroddiad.   Amlinellwyd manylion y gwaith a'r materion a ystyriwyd gan Is-Grŵp Gwasanaeth Cwsmer wrth ddadansoddi'r data a'r ffigyrau yn ymwneud â phroses cwynion ar gyfer Aelodau'r Pwyllgor.

Mewn ymateb i'r pryderon a fynegwyd gan y Cynghorydd W.E. Cowie, cytunodd y swyddogion y dylid ailgylchredeg e-bost a anfonwyd i'r holl Aelodau yn dilyn trafodaeth yn y Pwyllgor Archwilio Cymunedau am gynhyrchu a dosbarthu calendrau dyddiadau casglu biniau.

[JW i gadarnhau]

Amlinellodd Pennaeth Cwsmeriaid a Chefnogaeth Addysg gynnig i ddatblygu fframwaith cwynion newydd i'r Aelodau a bydd yr Aelodau Arweiniol yn gallu ei ddefnyddio yn ystod eu cyfarfodydd un i un gyda'r Penaethiaid Gwasanaeth i nodi manylion y cwynion, y rhesymau pam y'u gwnaed a'r oedi a fu wrth eu datrys. Roedd yr aelodau'n cefnogi'r ymagwedd hon ac yn llongyfarch y swyddogion am yr adroddiad a'r gwelliant perfformiad wrth ymateb i'r cwynion yn ystod 2012/13.

PENDERFYNWYD – yn amodol ar gefnogi'r cynnig uchod, i dderbyn yr adroddiad a nodi perfformiad gwasanaethau wrth ddelio â chwynion.

[JW/CO'G i nodi'r penderfyniad uchod]

9   FFRAMWAITH ADRODD BLYNYDDOL Y CYNGOR

Roedd copi o adroddiad gan y Cyfarwyddwr Corfforaethol: Moderneiddio a Lles oedd yn darparu crynodeb o effeithiolrwydd gwasanaethau gofal cymdeithasol yr awdurdod a blaenoriaethau gwelliant, wedi'u cylchredeg gyda phapurau'r cyfarfod. Bwriad copi drafft o'r adroddiad blynyddol ar gyfer 2012/2013, yn Atodiad 1, oedd darparu darlun gonest o'r gwasanaethau yn Sir Ddinbych ac arddangos dealltwriaeth glir o'r cryfderau a'r sialensiau a fu, a byddai'n amodol ar ymgynghoriad pellach a gorffeniadau cyn ei gyflwyno i'r Cyngor Llawn erbyn mis Gorffennaf, 2013.

Roedd crynodeb o bedair elfen y Fframwaith Adrodd Blynyddol y Cyngor wedi'u hamlinellu yn yr adroddiad:-

- Hunanasesiad a dadansoddiad manwl o effeithiolrwydd
- Trywydd tystiolaeth
- Integreiddio gyda chynllunio busnes
- Cyhoeddi adroddiad blynyddol

Roedd yr adroddiad yn ffurfio rhan allweddol o werthusiad perfformiad yr AGGCC Gwasanaethau Cymdeithasol Sir Ddinbych ac roedd y gwerthusiad yn rhoi gwybodaeth i asesiad Swyddfa Archwilio Cymru o Sir Ddinbych fel rhan o'r Adroddiad Gwelliant Blynyddol.   Roedd yr asesiad cyffredinol yn nodi bod Gwasanaethau Cymdeithasol Sir Ddinbych wedi llwyddo i wneud gwelliannau yn nhermau perfformiad ac ansawdd yn ystod y flwyddyn ddiwethaf, ac roedd manylion meysydd cynnydd wedi'u crynhoi yn yr adroddiad.   Roedd sialensiau mawr o flaen Gwasanaethau Oedolion a Busnes a Gwasanaethau Plant ac mae Atodiad 2 yn darparu trosolwg o'r sialensiau a sut y mae'r Cyngor yn bwriadu ymateb iddynt.   Hysbyswyd yr Aelodau bod y farn a'r sialensiau ar ddrafft yr adroddiad wedi'u derbyn gan swyddogion Cyngor Sir y Fflint a BIPBC.

Roedd blaenoriaethau gwelliant yn yr adroddiad blynyddol yn adnabod yr angen i barhau i addasu a moderneiddio gwasanaethau er mwyn ymateb i ddisgwyliadau a gofynion Bil Gwasanaethau Cymdeithasol a Lles Llywodraeth Cymru.   Roedd manylion nodweddion allweddol i ymagwedd y Cyngor i ailfodelu a datblygu patrymau gwasanaeth newydd i wella gwasanaethau lleol wedi'u cynnwys yn yr adroddiad.   Amlygwyd y meysydd gwelliant oedd yn cynnwys Gwasanaethau Plant, gweithio ar y cyd a sicrwydd ansawdd.

Byddai ymrwymiad y Cyngor i foderneiddio yn cynnwys buddsoddiad mwy mewn gwasanaethau ataliol ac ymyriad cynnar er mwyn galluogi dinasyddion i fod yn annibynnol, yn wydn ac yn alluog.   Bydd angen cefnogi'r ymagwedd gydag amrywiaeth o wasanaethau, gweithgareddau a rhwydweithiau cefnogi y gall pobl gael mynediad atynt yn eu cymunedau eu hunain, a byddai darparu'r rhaglen yn gofyn am ddatrysiadau ar draws y cynghorau/gwasanaethau ac ar draws sectorau gan gynnwys mentrau wedi'u harwain gan y cymunedau.

Hysbyswyd yr Aelodau mai canlyniad anochel ailfodelu a datblygu gwasanaethau newydd ac ymagweddau fyddai newidiadau amhoblogaidd.   Byddai canolbwynt ar wneud newidiadau sy'n darparu gwasanaethau cost effeithiol a chynaliadwy i

sicrhau bod pobl ddiamddiffyn yn cael eu diogelu ac yn derbyn gwasanaethau o'r safon orau gan ddarparu urddas mewn gofal a chanlyniadau da.

Roedd y blaenoriaethau a fanylwyd yn Fframwaith Adrodd Flynyddol y Cyngor yn cyfrannu at flaenoriaeth 4 Blaenoriaethau Corfforaethol y Cyngor: mae pobl ddiamddiffyn yn cael eu diogelu a gallant fyw mor annibynnol ag sy'n bosibl. Bydd gweithgarwch sydd wedi'i amlygu yn yr adroddiad blynyddol yn parhau i gyfrannu'n uniongyrchol, ac yn elwa o gyflawni'r Uchelgais Economaidd a Moderneiddio rhaglenni'r Cyngor.

Ymatebodd y CC:MLl i gwestiwn gan y Cynghorydd W.E. Cowie a darparu manylion am y broses tâl am ddarpariaeth Gofal Cartref Preswyl fyddai'n cael ei gytuno'n flynyddol ac yn amodol ar ofynion rheolaethol. Cyfeiriodd y Cynghorydd D. Owens at y cynnydd o 58% o blant sydd o dan ofal yr Awdurdod ac eglurodd y Rheolwr Gwasanaeth: Ansawdd a Datblygu Systemau bod y cynnydd wedi bod ar lefel genedlaethol, ac yn rhannol oherwydd y dulliau gweithredu newydd oedd ar waith yn y llysoedd. Darparwyd amlinelliad o raglen ail sefydlu Sir Ddinbych i'r Pwyllgor. Roedd manylion proses monitro'r Cyngor ar gyfer Cartrefi Gofal, ynghyd â'r safonau cenedlaethol, wedi'u darparu mewn ymateb i gwestiwn gan y Cynghorydd R. J. Davies.

Amlygwyd darpariaeth dwyieithog y gwasanaethau gan y CC:MLl a chyfeiriwyd at fenter sydd wedi'i chyflwyno gan Lywodraeth Cymru oedd yn cynnwys safonau gwaith a fframwaith strategol yn ymwneud ag iechyd a gofal cymdeithasol. Eglurodd bod rhai meysydd ble y mae darparu gwasanaethau dwyieithog yn heriol a chyfeiriwyd at y gwaith a wnaed gan Grŵp Strategaeth yr Iaith Gymraeg.

Yn dilyn trafodaeth fanwl:

PENDERFYNWYD – *derbyn yr adroddiad a chefnogi*:-

- *hunanasesiad y Cyfarwyddwr o ofal cymdeithasol yn Sir Ddinbych.*
- *blaenoriaethau gwelliant ar gyfer 2013/2014; a*
- *bod yr adroddiad drafft yn darparu cofnod clir o berfformiad.*

[SE/CMcL i nodi'r penderfyniad uchod ac adrodd i'r Cyngor]

10 RHAGLEN WAITH ARCHWILIO

Roedd copi o adroddiad gan y Cydlynydd Archwilio, oedd yn gofyn i'r Pwyllgor adolygu a chytuno ar Raglen Gwaith i'r Dyfodol ac yn darparu diweddariad ar faterion perthnasol, wedi'i gylchredeg gyda phapurau'r cyfarfod.

Rhoddodd y Pwyllgor ystyriaeth i ddrafft eu Rhaglen Gwaith i'r Dyfodol ar gyfer cyfarfodydd y dyfodol, fel y manylir yn Atodiad 1, a chytunwyd ar y newidiadau a'r ychwanegiadau canlynol:-

Eglurodd Rheolwr y Tîm Gwelliant Corfforaethol y byddai adroddiad ynglŷn â'r Gofrestr Risg Corfforaethol yn cael ei gyflwyno ddwywaith y flwyddyn, ym mis

Mehefin 2013 ac Ionawr 2014, a chytunodd yr Aelodau i dynnu'r eitem o raglen waith mis Hydref.   Eglurodd bod Cylch Gorchwyl y Pwyllgor Llywodraethu Corfforaethol yn nodi y dylid cyflwyno'r Gofrestr Risg Corfforaethol i'r Pwyllgor Llywodraethu Corfforaethol, ac y bydd eglurhad o rôl y Pwyllgor Llywodraethu Corfforaethol a rôl y Pwyllgor Archwilio Perfformiad mewn perthynas â'r Gofrestr Risg yn cael eu hystyried gan y Grŵp Cadeiryddion ac Is-Gadeiryddion Archwilio yn eu cyfarfod ar 27 Mehefin 2013.

Cyfeiriodd y Cydlynydd Archwilio at Adroddiad Gwybodaeth am broses newydd Her y Gwasanaeth oedd wedi'i gynnwys yn y Briff Gwybodaeth a gafodd ei gylchredeg i holl aelodau'r Pwyllgor.   Cytunodd yr Aelodau'r enwebiadau a'r penodiadau canlynol, yn amodol ar gadarnhad Aelodau unigol:-

Gwasanaethau Cyfreithiol a Democrataidd – Y Cynghorydd D. Owens
Gwasanaethau Plant a Theuluoedd – Y Cynghorydd A. Roberts
Cynllunio Busnes a Pherfformiad – Y Cynghorydd D. Owens
Gwasanaethau Oedolion a Busnes- Y Cynghorydd D. Simmons
Cwsmeriaid a Chefnogaeth Addysg – Y Cynghorydd R. J. Davies
Cynllunio a Gwarchod y Cyhoedd – Y Cynghorydd M. Ll. Davies
Cyfathrebu, Marchnata a Hamdden – Y Cynghorydd G. Lloyd-Williams
Adnoddau Dynol Strategol – Y Cynghorydd R. J. Davies
Addysg – Y Cynghorydd A. Roberts
Tai a Datblygu Cymunedol – Y Cynghorydd W. E. Cowie
Cyllid ac Asedau – Y Cynghorydd A. Roberts (eilydd: Y Cynghorydd D. Owens)
Amgylchedd a Phriffyrdd – Y Cynghorydd W. E. Cowie (eilydd: Y Cynghorydd M. Ll. Davies)

PENDERFYNWYD - *yn amodol ar y newidiadau a'r cytundebau uchod, eu bod yn cymeradwyo'r Rhaglen Gwaith i'r Dyfodol fel y caiff ei hamlinellu yn Atodiad 1 yr adroddiad.*

[RE i hysbysu Busnes, Cynllunio a Pherfformiad am y penodiadau]

11   ADBORTH GAN GYNRYCHIOLWYR Y PWYLLGOR

Eglurodd Rheolwr y Tîm Gwelliant Corfforaethol bod y Grŵp Cydraddoldeb Corfforaethol wedi gofyn yn eu cyfarfod bod y tri manylyn gwybodaeth canlynol yn cael eu rhannu â'r Pwyllgorau perthnasol:-

·   Asesiadau Effaith ar Gydraddoldeb (EqIA)- Dylid cyflwyno'r holl adroddiadau i'r Cyngor a'r Cabinet a'r Penderfyniadau Dirprwyol gan ddefnyddio'r templed adroddiad newydd a dylid atodi'r Asesiad Effaith ar Gydraddoldeb i'r adroddiad priodol.
·   Llyfryn Parch – Bod y llyfryn, sy'n codi ymwybyddiaeth mewn perthynas â materion amrywiaeth, yn orfodol i'r holl staff.
·   Hyfforddiant Cydraddoldeb i Aelodau – Roedd y Grŵp Cydraddoldeb Corfforaethol wedi mynegi'r farn y dylid gwneud yr hyfforddiant Cydraddoldeb ar gyfer Aelodau Etholedig yn orfodol.   Mynegodd y Cynghorydd M.Ll. Davies y farn y dylid cynnwys eglurhad am bwrpas a rheswm dros ddarparu'r sesiynau hyfforddi,

gan nodi a oedd eu presenoldeb yn orfodol, ar y gwahoddiad sy'n cael ei anfon i'r Aelodau.

***PENDERFYNWYD**- y dylid derbyn a chofnodi'r adroddiad.*

[SP/EW i nodi'r wybodaeth uchod]

Daeth y cyfarfod i ben am 12:45pm.

Adroddiad i'r:	**Pwyllgor Archwilio Perfformiad**
Dyddiad y cyfarfod:	**20 Mehefin 2013**
Aelod / Swyddog Arweiniol:	**Aelod Arweiniol Addysg / Pennaeth Addysg**
Awdur yr Adroddiad	**Pennaeth Addysg**
Teitl:	**Camau gweithredu yn dilyn Arolwg Estyn ar ansawdd Gwasanaethau Addysg plant a phobl ifanc yn Sir Ddinbych.**

1. Am beth mae'r adroddiad yn sôn?

1.1 Mae'r adroddiad yn darparu'r wybodaeth ddiweddaraf ar y cynnydd sydd wedi ei wneud o ran argymhellion Estyn yn dilyn arolwg 2012.

2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

2.1 Lluniwyd yr adroddiad hwn er mwyn darparu gwybodaeth ac i ystyried y cynnydd sydd wedi ei wneud yn dilyn yr adroddiad a gyflwynwyd i'r pwyllgor archwilio ar 10 Ionawr 2013, mewn ymateb i'r argymhellion a wnaethpwyd gan Estyn yn dilyn arolwg 2012.

3. Beth yw'r Argymhellion?

Argymhellir y dylai'r Pwyllgor Archwilio:

3.1 ystyried yr wybodaeth a ddarperir a darparu sylwadau ar y cynnydd hyd yma o ran gweithredu argymhellion Estyn; a

3.2 penderfynu p'un ai fydd angen monitro'r cynllun gweithredu ymhellach ai peidio.

4. Manylion am yr adroddiad

4.1 Mae prif ganfyddiadau Adroddiad Estyn yn dangos bod yr Awdurdod Lleol wedi derbyn safon 'Da' ar gyfer y tri chwestiwn isod:-
- Pa mor dda yw'r canlyniadau?
- Pa mor dda yw'r ddarpariaeth?
- Pa mor dda yw'r arweinyddiaeth a'r rheolaeth?

Roedd yr holl ddangosyddion eraill hefyd wedi derbyn safon 'Da' ac eithrio 3.1 'Arweinyddiaeth' a dderbyniodd safon 'Ardderchog'.

4.2 Fodd bynnag, er mwyn gwella, bu i Estyn argymell y canlynol:-

Argymhelliad 1: Gwella cywirdeb Asesiadau Athrawon ar ddiwedd Cyfnod Allweddol 3; ac

Argymhelliad 2: Adnabod pob gwasanaeth plant a phobl ifanc yn Sir Ddinbych a sefydlu system effeithiol i fesur effaith y gwasanaethau hyn i gynorthwyo'r Awdurdod a'i bartneriaid i wybod a yw'r gwasanaethau hyn yn cynnig gwerth da am arian.

4.3 **Y Cynnydd yn erbyn Argymhelliad 1**

Mae cynnydd wedi ei wneud gyda phob cam gweithredu a nodwyd dan yr argymhelliad hwn yn y Cynllun Gweithredu, ac eithrio 1.4 a 1.5. Y dyddiad ar gyfer cwblhau 1.4 ac 1.5 yw mis Gorffennaf 2014 (gwelwch Atodiad 1).

Y Cynnydd yn erbyn Argymhelliad 2

Mae cynnydd wedi ei wneud gyda phob cam gweithredu a nodwyd dan yr argymhelliad hwn (gwelwch Atodiad 2).

5. **Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?**

Mae gwella perfformiad addysg ac ansawdd adeiladau ysgol yn un o flaenoriaethau corfforaethol y Cyngor ar gyfer 2012-17. Drwy fonitro darpariaeth y cynllun gweithredu ynghlwm gall y Pwyllgor gynorthwyo'r Cyngor i gyflawni'r uchelgais uchod.

6. **Faint fydd yn costio a sut bydd yn effeithio ar wasanaethau eraill?**

6.1 Bydd yr holl gostau yn cael eu cefnogi gan gyllidebau gwasanaeth.

7. **Beth yw prif gasgliadau'r asesiad a gynhaliwyd ynglŷn ag effaith y penderfyniad ar gydraddoldeb? Dylid cynnwys templed yr Asesiad o Effaith ar Gydraddoldeb a gwblhawyd fel atodiad i'r adroddiad.**

Diben yr adroddiad hwn yw adolygu ac ystyried y cynnydd yn erbyn yr argymelliadau. Felly, nid yw'r adroddiad yn gofyn am benderfyniad ar gynnig. Does dim effeithiau niweidiol ar unrhyw un o'r nodweddion sydd wedi eu diogelu yn sgil yr adroddiad hwn.

8. **Pa ymgynghoriadau a gynhaliwyd gyda phwyllgorau Archwilio ac eraill?**

8.1 Mae ymgynghoriad wedi ei gynnal â'r Prif Fudd-ddeiliaid.

9. **Datganiad y Prif Swyddog Cyllid**

Amherthnasol

10. **Pa risgiau sy'n bodoli ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?**

10.1 Mae'r risg i enw da'r Awdurdod a'r risgiau gwleidyddol yn fychan gan fod canlyniadau'r arolwg yn dda. Fodd bynnag, os nad ydym ni'n gweithredu'r argymhellion, gall hynny gael effaith negyddol ar ganlyniad arolygon y dyfodol.

11.   Pŵer i wneud y Penderfyniad

11.1   Does dim gofynion cyfreithiol ond mae arolygon Awdurdodau Lleol yn orfodol.

11.2   Mae erthygl 6.3.2 o Gyfansoddiad y Cyngor yn amodi y gall bwyllgorau archwilio sicrhau bod y camau gweithredu a nodir yn y cynlluniau gweithredu yn cael eu cymryd.

Swyddog Cyswllt:
Pennaeth Addysg
Ffôn: 01824 708009

Mae tudalen hwn yn fwriadol wag

Tudalen 19

Recomendation					Improve the accuracy of teacher assessments at the end of Key Stage 3		
Ref No	**Target**	**Actions**	**Due Date**	**Owner**	**Actual**	**Status**	**Comments**
1.1	All assessments at KS3 are consistent and in line with WG assessment guidance to ensure that the LA is in the top 10 in Wales.	Collect teacher assessments each term to accurately track individual pupils' progress.	Jun-13	SEO	Heads of English,Welsh and maths have met to review standards and moderate pupils work. This process will be repeated during te spring term	Complete	
		Analysis of pupil level data to compare teacher assessments against FFT D predictions.	Jun-12	SEO	A data sheet for each school have been prepared and has idenifed pupils pupils at risk of not achieving Level 5	Complete	
1.2		Subject teachers from each school to meet twice a year to work together to agree standards for each subject.	Jun-13	SEO	Teachers to meet during spring term	Complete	This process is now complete ans is being reviewed as part of the transition to the regional service which is responsible for core school I mprovement functions.
1.3	Increase the number Level 5, 6 and 7 grades so that the LA is top 10 performing and inline with FFT D.	Extend the use of the Rapid Improvement Planning (RAP) to improve pupil performance in the core subjects.	Mar-13	SEO	RAP improvement planning has been extended to 4/8 schools so far this year.	On Target	This work is ongoing, but will not be meaeurable until the 2013 teacher assessments have been published.
		PLC's in NC subjects to share and develop good practice to increase numbers of pupils gaining Levels 5,6 and 7 in line with FFT D predictions.			PLC's have been set up in literacy and numeracy in 7/8 schools. PLC in remaining school in place by February 2013.	On Target	
1.4	To provide teachers with a wide range of moderated pupils work and assessments as a reference.	Use Moodle to host (PDF) levelled exemplar materials in all subjects and levels.	Jul-14	SEO	Some progress has been made, but not yet complete.	On Target	
		Provide training for teachers in the use of Moodle.	Jul-14	SEO	SLT members have received training, training for teachers will roll out once materials have been produced late in 2013.	On Target	All secondary schools have received introductory training. Further training will be offered alongside national and local resource development initiatives.
1.5	All schools have a curriculum that is more learner-centred and skills-focused.	Work with SLT's to review current KS3 provision as part of school self-evaluation processes.	Jul-14	SEO	Curriculum deputy headteachers have set up a working group to review statutory age curriculums to ensure they meet the needs of a skills based curruculum to ensure young people are prepated for PISA focused KS4.	On Target	This work will be further informed by the national Curriculum and Assessment Review of KS2 and KS3, and the introduction of the LNF.
1.6	All schools are above the median at KS3 for the CSI.	SI&I to support and challenge schools that are not improving.	Jul-14	SEO	Half termly review meetings take place to challenge performance at KS3	Complete	
1.7	Best practice is shared with all schools.	Use training days to share their best curriculum and teaching practice with other schools.	Jul-13	SEO	High schools are using planned training days to moderate pupils work. Meetings with heads and officers will ensure common standards across the LA.	On Target	
1.8	Improve the effectiveness of senior and middle leaders.	Training in the use of RAP to improve pupil performance.	Jul-13	SEO	An education consultant is working with 6/8 to train schools in the RAP process this academic year.	Complete	

Mae tudalen hwn yn fwriadol wag

Tudalen 21

Recomendation	Identify all services for children and young people in Denbighshire and establish an effective system to measure the impact of these services to help the authority and its partners know whether these offer good value for money.						
Ref No	**Target**	**Actions**	**Due Date**	**Owner**	**Actual**	**Status**	**Comments**
1.1	Establish a system / database to map resources available to children and YP within Denbighshire.	Identify core data set based on current fields within the FIS/MCA database	Jul-12	TE			
		Identify a number of data collection mechanisms, this would include an MCA online facility entry system (preferred option as would allow direct import into MCA database) if this can be funded. It may also include spreadsheets and other options that might be proposed by Denbighshire ICT.	Jul-12	RR	The new FIS database has been launched and is active from November 2012. The database is currently being promoted to organisations that work with families in order to ensure that all organisations have up to date details in the web based site. This includes a direct entry process. The FIS outreach workers have a work programme to support continuous development moving forward.	Complete	
		Present plan and timeline to Early and Extending Entitlement	Jul-12	RR	Presentations to support developments have taken place	Complete	
		Promote the new FIS Family Services Directory (FSD) within localities, together with information and guidance on inclusion on the FSD. This would include promotion to partner orgs and the public.	Sep-12 to Aug-13	TE	Promotion is on going following the 'soft launch' of the data base.	On Target	
		In support of the above promote and pilot within the Rhyl & Denbigh localities - the use of the MCA updating mechanism whereby each organisation on the database can update their own details as they change.	Jul-13	RR	The pilot work is underway with Rhyl and Denbigh communities and a new service profiling tool has been established based on the Youth Service balanced scorecard assessment tool.	On Target	
		Review options for longer term resources and funding in order to sustain a wide role out (This may include the option of key services / orgs contributing a small amount of money on an annual basis to cover additional functionality. This functionality could include a funding module that would allow individual organisations and the Partnership Team to track and monitor funding arrangements in order to inform future planning.)	Sep-12	DH	Work began on phase one of the Resource Mapping Project for The BIG Plan in September 2012, scoping current grant funding available for service provision within Denbighshire County Council. The Project is split into five phases, aiming to find out where funds come from, how long they exist for, where gaps in funding exist, and auditing the single integrated plan in terms of funding available. The data collated will be analysed and used to influence and improve strategic commissioning of services, gaining best value for money. The Project aims to understand performance and financial reporting requirements in order to have a fully coordinated approach for funding for the future. The five phases of the project will, in turn, incorporate research into internal funding data, funding for Families First and external services working within The BIG Plan, later developing to explore core funding, and match funding availability with a view to resulting in a full and clear picture of resources.	On Target	Phase One timescales have been amended to a target deadline of April 2013 to take into account a revised, broader scope of phase one. Estimated completion of complete project is anticipated as APRIL 2014. The project is on track to the revised completion dates but not on track against the original estimated completion dates. It is not anticipated that the financial resource mapping will extend to include an online module. The Families first Programme in Denbighshire is underpinned by smart commisioning dependent on needs. Evidence of impact will be reviewed during the course of the programme to inform future commisioning and planning decisions.
		Review the implementation of the MCA database process over a period of 4 months with a final evaluation report to CYPSP.	Mar-13	RR		On Target	
1.2	Develop Quality Progression Framework (QPF) incorporating Outcome Star to review quality indicators for each service within the database	Agree small working group to propose core areas for Scorecard/Quality Progression Framework (QPF) based on the Youth Service 'scorecard' matrix. This will include the Outcome Star model.	Jul-12	RR	The 'scorecard' development has been conducted through the Denbigh group and a 'service profiling' tool has been established (based on the work of the youth service scorecard) this tool does not have a 'score' system as the partnership will be interested in organisations view of what they do and the level that they do it. This profile will be dependent upon the organisations circumstances, aims, objectives and resources etc. The profiling will take place in Denbigh as a pilot and evaluated for potential roll out - if successful.	Complete	
		Pilot the QPF (or alternatively agreed name) in 2 localities engaging a range of volunteer organisations / services.	Feb-13	PYO	Group agreed that Denbigh Pilot to be reviewed and wider roll out subject to learning.	On Target	
		Review pilots and improve QPF (if appropriate) and present a final report with evaluation and recommendations to CYPSP	Mar-13	RR		On Target	
		Produce an action plan to support implementation across partnerships.	Apr-13	RR		On Target	
		Review data arising from roll out in order to improve planning, provision and provision delivery	Sep-13	DH	The BIG Plan for Denbighshire has an associated Performance Management Framework. A variety of methods will be used to demonstrate the impact of partnership activity in Denbighshire associated with The BIG Plan. Planning for part II of The BIG Plan will begin in 2013/2014 and will involve a detailed evaluation of the impact of of the plan in order to inform part II of the plan. The Families First programme in Denbighshire also has it's own performance monitoring and evaluation arrangements	On Target	Following the "service profiling" pilot including the production of a QPF (now known as the activity profile checklist) recommendations will be made as to the suitability of rolling out the pilot. If a decision is taken for a wider roleout of the "activity profile checklist" an approach to the data analysis will need to be agreed.
		Make recommendations in order to improve the process and data analysis process	Oct-13	DH		On Target	
		Review 12 months data and incorporate into partnerships planning processes	Mar-14	DH		On Target	

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r:	**Pwyllgor Archwilio Perfformiad**
Dyddiad y cyfarfod:	**20 Mehefin 2013**
Swyddog/Aelod Arweiniol:	**Pennaeth Cynllunio Busnes a Pherfformiad/Aelod Arweiniol dros Foderneiddio a Pherfformiad**
Awdur yr Adroddiad:	**Rheolwr Gwella Corfforaethol**
Teitl:	**Adroddiad Perfformiad y Cynllun Corfforaethol (Chwarter 4 2012-13)**

1. Am beth mae'r adroddiad yn sôn?

1.1. Mae'r papur hwn yn cyflwyno diweddariad ynglŷn â chyflawniad Cynllun Corfforaethol 2012-17 yn niwedd chwarter 4, 2012-13.

2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

2.1. Mae angen i'r Cyngor ddeall pa gynnydd sy'n digwydd wrth gyflawni deilliannau'r Cynllun Corfforaethol. Mae adrodd rheolaidd yn un o ofynion monitro angenrheidiol y Cynllun Corfforaethol er mwyn sicrhau bod y Cyngor yn gweithredu ei ddyletswydd i wella.

3. Beth yw'r Argymhellion?

3.1. Caiff ei argymell bod yr Aelodau'n rhoi ystyriaeth i'r adroddiad, ac yn penderfynu ar unrhyw gamau gweithredu pellach sydd eu hangen er mwyn ymateb i unrhyw faterion sy'n ymwneud â pherfformiad o fewn yr adroddiad hwn.

4. Manylion am yr Adroddiad

4.1. Mae'r atodiad i'r adroddiad hwn yn cyflwyno crynodeb o bob un o ddeilliannau'r Cynllun Corfforaethol. Yn gyffredinol, mae'r adroddiad yn dangos fod cynnydd da'n cael ei wneud wrth gyflawni ein Cynllun Corfforaethol, a'n bod yn deall pam y pery'r angen i wella ar rai elfennau o'r Cynllun. Mae'n bwysig nodi fod yr adroddiad hwn yn ymwneud â blwyddyn gyntaf Cynllun Corfforaethol 5 mlynedd, a bod rhai elfennau o'r cynllun wedi cael eu cynnwys yn benodol oherwydd i ni eu dynodi fel pethau yr oedd angen gwella arnynt. Rydym yn cydnabod y bydd rhai o'r meysydd yn cymryd rhagor o amser i'w gwella na'i gilydd, ac yn ddiweddar mae'r Tîm Gwella Corfforaethol wedi bod yn gweithio gyda Phenaethiaid Gwasanaeth ac Aelodau Arweiniol i roi eglurder ynglŷn â'n huchelgais ar gyfer y cynllun, ac i roi syniad o sut y mae disgwyl i daith y gwella edrych. Mae'r gwaith hwn bron wedi ei gwblhau, ac mae casgliadau'r gwaith hwnnw wedi eu hymgorffori yn y dadansoddi sydd wedi ei gynnwys o fewn yr adroddiad hwn.

4.2. Mae nifer o feysydd wedi eu hamlygu'n goch o fewn yr adroddiad hwn. Golyga hyn fod rhai dangosyddion a pheth perfformiad wedi eu dynodi fel bod yn "flaenoriaeth ar gyfer gwella", ac mae rhai gweithgareddau wedi eu dyrannu â'r lefel isaf posibl o hyder y cânt eu cyflawni gan y swyddog sy'n gyfrifol amdanynt. Mae crynodeb o'r meysydd hyn i'w weld isod:

4.2.1. Mae canran y disgyblion sy'n gadael heb gymhwyster cydnabyddedig yn parhau i fod yn "flaenoriaeth ar gyfer gwella". Er mwyn i'r sefyllfa ddod yn "dderbyniol" yn y

blynyddoedd sydd i ddod, mae'n edrych yn debyg fod angen gostyngiad o tua 0.5% (o'n sefyllfa bresennol o o.82%). Dangosydd blynyddol yw hwn ac nid yw'r sefyllfa wedi newid ers i hyn gael ei drafod fel rhan o gyflwyno adroddiad perfformiad Chwarter 3.

4.2.2. Mae nifer o fesurau perfformiad o fewn y flaenoriaeth addysg (yn ymwneud ag ystafelloedd dosbarth symudol a llefydd gweigion) wedi eu dynodi yn "flaenoriaeth ar gyfer gwella". Fodd bynnag, dim ond adlewyrchu mae hyn y rhesymau dros eu cynnwys yn y Cynllun Corfforaethol (h.y. rydym eisiau gwella ar y sefyllfa bresennol). O ganlyniad i'r ffaith fod angen eu gwella, ni fyddem yn disgwyl i'r rhain fod yn "dda" neu yn "ardderchog" ar hyn o bryd. Mae'r gwasanaeth ar hyn o bryd yn gweithio i roi eglurder ynglŷn â phryd y mae'n disgwyl i statws y mesurau perfformiad hyn wella, fel y gallwn ddadansoddi ein cynnydd ochr yn ochr â'r disgwyliadau hynny.

4.2.3. Mae canran ein ffyrdd A a B sydd mewn cyflwr gwael yn gyffredinol yn parhau i fod yn "flaenoriaeth ar gyfer gwella". Fodd bynnag, dylid nodi fod sefyllfa ein ffyrdd C (cefn gwlad) yn "dda" a bod sefyllfa gyfun ffyrdd A, B ac C hefyd yn "dda". Efallai y byddwn hefyd yn canfod y bydd ein sefyllfa gymharol, ar gyfer rhai neu bob un o'r dangosyddion hyn, yn gwella unwaith y caiff data cenedlaethol ar gyfer 2012-13 ei gyhoeddi ym mis Awst.

4.2.4. Nid yw'r gwaith i ffurfioli'r cynlluniau gogyfer ag ymylfeini wedi'u gostwng wedi cael ei ddatblygu, ac mae gan y prosiect hwnnw felly statws coch. Mae hyn yn peri pryder gan fod i'r prosiect hwnnw gysylltiad amlwg gydag un o ddangosyddion ein blaenoriaeth ffyrdd ac mae hefyd yn gyfraniad allweddol tuag at Gynllun Cydraddoldeb Strategol y cyngor. Trafodwyd y mater fel rhan o Adroddiad Perfformiad Chwarter 3, ac mae'r gwasanaeth ers hynny wedi ymrwymo i roi blaenoriaeth i'w gwaith hwn yn ystod 2013/14.

4.2.5. Mae cyfran yr oedolion o fewn y boblogaeth nad oes modd iddynt fyw yn annibynnol yn "flaenoriaeth ar gyfer gwella", er bod arwyddion fod hyn yn gwella. Yn hanesyddol mae gan Sir Ddinbych gyfran uwch o bobl yn byw mewn cartrefi gofal na'r rhan fwyaf o Awdurdodau Cymru. Mae gan y Cyngor gynllun hirdymor i leihau'r angen i dderbyn pobl o'r newydd i gartrefi gofal. Mae'r data sy'n ymwneud â lleoliadau newydd yn dangos fod gostyngiad amlwg yng nghyfanswm nifer y bobl hŷn sydd angen cefnogaeth gofal preswyl ac yn dangos gwelliant cyffredinol ers y flwyddyn flaenorol. Bydd cyhoeddi data cenedlaethol yn yr haf o gymorth i ni ddeall pryd y bydd ein gweithgarwch gwella yn debygol o arwain at weld y dangosydd hwn yn dod yn "dderbyniol" neu'n well na hynny. Mae disgwyl y byddwn yn gweithredu gam wrth gam i wella sefyllfa'r gwahanol garfannau oedran.

4.2.6. Ymddengys fod pa mor aml y digwydd tipio anghyfreithlon yma yn uchel yng nghyd-destun Cymru ac o'r herwydd mae wedi ei amlygu fel 'blaenoriaeth ar gyfer gwella". Er bod y gyfradd yn Sir Ddinbych wedi gostwng fymryn yn ystod y ddwy flynedd ddiwethaf, byddai angen newid sylweddol cyn y bydd y dangosydd hwn yn llwyddo i gyrraedd statws "dderbyniol". Fodd bynnag, mae'r Gwasanaeth o'r farn ein bod yn adrodd am y dangosydd hwn yn wahanol i sut y mae cynghorau eraill yn gwneud hynny gan ein bod yn hunan-adrodd hefyd am ein gweithgarwch yn glanhau strydoedd, yn ogystal ag am y digwyddiadau sy'n cael eu hadrodd gan y system Rheoli Cyswllt Cwsmer. Efallai felly na fydd y dangosydd hwn yn gweithio fel mesurydd i gymharu yn ei erbyn ac efallai bod angen i ni roi ystyriaeth yn lleol i sut y dylai "rhagoriaeth" edrych. Ein huchelgais yw parhau â'r gostyngiad diweddar yng nghyfradd tipio anghyfreithlon wrth i ymddygiad yn y gymuned newid.

4.2.7. Mae'r ganran o'r digwyddiadau tipio anghyfreithlon sy'n cael eu hadrodd ac sydd wedi eu clirio o fewn 5 diwrnod gwaith ar hyn o bryd yn "flaenoriaeth ar gyfer gweithredu". Mae'r tair blynedd ddiwethaf wedi gweld gostyngiad mewn perfformiad, o 100% yn 2009-10 i 90.05% yn 2012-13. Er mwyn dychwelyd at statws "dderbyniol", byddai angen i'r cyngor wella i oddeutu 95%. Fodd bynnag, mae'r gwasanaeth o'r farn fod ein mecanwaith cofnodi (trwy gyfrwng y System Rheoli Cyswllt Cwsmer) yn gwneud i'r perfformiad ymddangos yn waeth nag ydyw mewn gwirionedd. Mae'r mesuriad yn cyfrif faint o amser mae'n ei gymryd rhwng cofnodi'r digwyddiad a chau'r digwyddiad ar y system Rheoli Cyswllt Cwsmer (yn hytrach na phan gafodd y digwyddiad ei glirio mewn gwirionedd, a all fod rai dyddiau ynghynt). Mae'r gwasanaeth yn gobeithio y gellir dod o hyd i ffordd i wella sut y caiff y data hwn ei gofnodi fel bod yr adrodd yn adlewyrchu ein perfformiad yn fwy manwl.

4.2.8. Mae argaeledd tai fforddiadwy preifat yn Sir Ddinbych ar hyn o bryd yn "flaenoriaeth ar gyfer gwella", gan mai dim ond 16 o unedau a gynhyrchwyd yn 2012/13 ochr yn ochr â galw am 114 (14% o'r tai fforddiadwy yr oedd eu hangen).

4.2.9. Mae gostyngiad yn y nifer o geisiadau cynllunio y deuir i benderfyniad yn eu cylch mewn modd amserol yn golygu y caiff hyn bellach ei ystyried yn "flaenoriaeth ar gyfer gwella". Mae hyn wedi gostwng o 90% yn 2011/12 i 82% yn 2012/13.

4.2.10. Mae'r cyflenwad o dir sydd ar gael ar gyfer tai yn "flaenoriaeth ar gyfer gwella". Fodd bynnag, nawr fod y Cynllun Datblygu Lleol wedi cael ei fabwysiadu, mae disgwyl y bydd mesuriad y cyflenwad tir sydd ar gael i godi tai yn Sir Ddinbych yn gwella nes cyrraedd lefel dderbyniol.

4.2.11. Mae un deg pedwar Dangosydd Perfformiad Allweddol creiddiol (DPA) wedi cael eu dynodi er mwyn meincnodi gyda HouseMark. Mae'r data mwyaf diweddar yn dangos fod gan y cyngor saith ohonynt (50%) o fewn y chwartel uchaf, sef y trothwy sydd wedi ei ddynodi ar gyfer bod yn "flaenoriaeth ar gyfer gwella". Yr uchelgais yn y pen draw yw i bob DPA fod o fewn y chwartel uchaf.

4.2.12. Mae cyfradd cwblhau gwerthusiadau perfformiad yn amserol hefyd yn "flaenoriaeth ar gyfer gwella", gan fod ein perfformiad ar hyn o bryd yn 92.28%. Yn gorfforaethol, cytunwyd fod unrhyw ganlyniad sy'n is na 95% ar gyfer cwblhau gwerthusiadau yn "flaenoriaeth ar gyfer gwella".

5. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?

5.1. Adroddiad yw hwn ynglŷn â'r cynnydd yr ydym yn ei wneud wrth gyflawni'r Cynllun Corfforaethol. Dylai unrhyw benderfyniad a wneir gyfrannu tuag at gyflawni ein Blaenoriaethau Corfforaethol yn llwyddiannus.

6. Faint fydd yn costio a sut bydd yn effeithio ar wasanaethau eraill?

6.1. Mae Cynllun Corfforaethol 2012-17 yn amlinellu faint o arian yn ychwanegol y mae'r cyngor yn bwriadu ei fuddsoddi ym mhob blaenoriaeth gorfforaethol yn ystod y 5 mlynedd nesaf. Ar wahân i'r buddsoddiad ychwanegol hwnnw, cymerir yn ganiataol y gellir cyflawni'r cynllun corfforaethol o fewn y cyllidebau presennol.

7. Beth yw prif gasgliadau'r asesiad a gynhaliwyd ynglŷn ag effaith y penderfyniad ar gydraddoldeb? Dylid cynnwys templed yr Asesiad o Effaith ar Gydraddoldeb a gwblhawyd fel atodiad i'r adroddiad.

7.1. Cynhaliwyd asesiad o effaith y Cynllun Corfforaethol ar gydraddoldeb a chafodd ei gyflwyno i'r Cyngor ar 9 Hydref 2012. Nid oes angen asesu'r adroddiad hwn ymhellach gan na fydd yr argymhellion o'i fewn ag effaith uniongyrchol ar ein staff nac ar ein cymunedau. Fodd bynnag, dylid nodi fod un o'r meysydd a amlygwyd i fod yn "flaenoriaeth ar gyfer gwella" (ymylfeini wedi eu gostwng) wedi ei ddynodi fel gweithgaredd o ganlyniad i'r asesiad a gynhaliwyd ynglŷn ag effaith y Cynllun Corfforaethol ar gydraddoldeb. Mae statws y gweithgarwch hwn felly yn peri pryder penodol o safbwynt cydraddoldeb.

8.   Pa ymgynghori a gynhaliwyd gyda phwyllgorau Archwilio ac eraill?

8.1. Daw'r wybodaeth yr oedd ei hangen i gynhyrchu'r adroddiad hwn oddi wrth y gwasanaethau, a chafodd fersiwn ddrafft o'r adroddiad ei drafod mewn cyfarfod o'r Uwch Dîm Arweinyddiaeth ar 6 Mehefin 2013. Pwrpas yr adroddiad hwn yw galluogi craffu ar yr adroddiad cyn iddo gael ei gyflwyno ger bron y Cabinet ar 25 Mehefin 2013.

9.   Datganiad y Prif Swyddog Cyllid

9.1. Nid oedd angen datganiad ganddo ar gyfer yr adroddiad hwn.

10.   Pa risgiau sy'n bodoli ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?

10.1. Nid oes unrhyw risgiau penodol yn gysylltiedig â'r adroddiad hwn. Swyddogaeth y Gofrestr Risg Corfforaethol a'r Gofrestr Risg Gwasanaethau yw dynodi (a rheoli) digwyddiadau posibl o risg a allai olygu na fyddai'r cyngor yn gallu cyflawni ei Gynllun Corfforaethol.

11.   Y Grym i Benderfynu

11.1. Mae rheoli perfformiad a monitro yn elfen allweddol o Raglen Cymru ar gyfer Gwella, sydd wedi ei thanategu gan ofynion statudol Deddf Llywodraeth Leol 1999 a Mesur Llywodraeth Leol (Cymru) 2009.

11.2. Mae Erthygl 6.1 y Cyfansoddiad yn amlinellu cyfrifoldebau'r Pwyllgor mewn perthynas â'r Cynllun Corfforaethol.

Swyddog Cyswllt:

Rheolwr Gwella Corfforaethol

Ffôn: 07825 451448

Guidance on Performance Reporting

Each of the council's corporate priorities has one or more "outcomes" which describe the benefits we aim to deliver for our communities.

We use a selection of "indicators" to indicate progress in delivering these outcomes. Indicators do not directly measure the performance of the council, as most indicators are outside of the direct control of the council. However, they are important as they are designed to tell us something about the delivery of the outcomes.

Each outcome also has a selection of "performance measures" which we use to evaluate the contribution that the council is making to the delivery of the outcome. The performance measures are designed to measure the success of the work undertaken by the council to support the outcomes.

Each indicator and performance measures is given a status, which describes the current position. A colour is used to describe the status, and they are defined as:

Status	Definition
Green	The current position is excellent
Yellow	The current position is good
Orange	The current position is acceptable
Red	The current position is a priority for improvement

Where an indicator or performance measure has no status, this is either because it is new (data therefore do not yet exist) or because further work is required to define what "excellence" etc… looks like for that data.

Each outcome also has "improvement activities" which are projects and actions designed to contribute to the delivery of the outcome. We monitor to delivery of these activities by providing a "delivery confidence". The same four colours are used to mean the following:

Status	Delivery Confidence Definition
Green	Successful delivery appears highly likely and there are no major outstanding issues that at this stage appear to threaten delivery significantly
Yellow	Successful delivery appears probable but significant issues already exists requiring management attention. These appear resolvable at this stage and if addressed promptly, should not present a cost/schedule overrun.
Orange	Successful delivery is in doubt with major risks or issues apparent in a number of key areas. Urgent action is needed to ensure these are addressed, and whether resolution is feasible.
Red	Successful delivery appears to be unachievable. There are major issues on definition, schedule, budget required quality or benefits delivery, which at this stage does not appear to be manageable or resolvable. The activity may need re-base lining and/or overall viability re-assessed.

Priority: Developing the Local Economy

The delivery of this priority will be through the Economic and Community Ambition Programme, which will focus on six outcomes (or key strategy themes). As the programme develops, so will the indicators and performance measures. Their status remains in development, so the summary below focusses only on the activities identified to support the priority in the Corporate Plan Delivery Document 2012/13.

Outcome: The infrastructure that connects the county internally, to the wider region and beyond, will enable individuals and businesses based in Denbighshire to compete effectively in wider markets for jobs and trade

Summary of the council's contribution to the outcome
The North Wales Economic Ambition Board is now formally agreed by all 6 Councils. Alongside the three identified priorities (Advanced Manufacturing, led by Flintshire; Energy & Environment, led by Anglesey; and Inward Investment, led by Wrexham), Denbighshire is leading on the development of an integrated Infrastructure Investment Plan and a Skills Development Plan. The delivery confidence "yellow" indicates the overall confidence for the council's contribution to the projects from the North Wales Economic Ambition Board.

Understanding the economic benefit for investment in Rail Electrification in North Wales has been identified as an early priority for the Infrastructure Investment Plan. Denbighshire will contribute to this via the development of an economic case for investment in Rail Electrification. The data collection to support the economic case is underway. The Welsh Government has shortened the timetable for a Skills Development Plan. A workshop will now take place in June with all North Wales local authorities to look at baseline information. |

Improvement Activity	Status
	Updated on: **31.03.2013**
The North Wales Regional Economic Ambition Board	Yellow

Outcome: Denbighshire will have a workforce with the skills required for business growth

Summary of the council's contribution to the outcome
The status of discussions between Rhyl City Strategy and the Honey Club is "orange". The issue relates to the compulsory purchase order of the adjoining property. Recent developments note the objections to the compulsory purchase order as withdrawn and progress quickening with notices of cancellation received from the Planning Inspector, erected on site, and placed in local press.

Improvement Activity	Status
	Updated on: **31.03.2013**
Closing the skills gap in Denbighshire[1]	Green
Facilitate discussion between Rhyl City Strategy and Honey Club	Orange

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[1] This council will work with businesses, the Sector Skills Council, and Careers Wales to identify skills gaps and then work with schools, careers advisors, and colleges to develop advice and courses for young people.

Outcome: Businesses will develop and grow in Denbighshire

Summary of the council's contribution to the outcome
Delivery of the local economic ambition strategy has been incorporated into the overall Economic and Community Ambition (ECA) Programme. This offers an opportunity to streamline the output so that it feeds directly into the ECA programme. The series of workshops is now complete and the draft strategy and action plan is on track for consideration by Council in July.

Nearly all management posts following the restructure of Economic Development and Regeneration are in place and the discussion now moves on to the teams to support the management posts.

Improvement Activity	Status
Updated on:	**31.03.2013**
Bee and Station Hotel[2]	Green
Fund capital projects to micro rural enterprises[3]	Green
Develop a Local Economic Ambition Strategy	Green
Restructure support for Economic Development & Regeneration	Green

Outcome: Denbighshire's existing economic strengths and new potential will be maximised

Summary of the council's contribution to the outcome
The projects identified, highlight the council's contribution, and are progressing well with some management attention required, but issues appear resolvable and should not present a problem threatening delivery.

Improvement Activity	Status
Updated on:	**31.03.2013**
Develop the tourism sector by delivering new and improved mountain bike trail initiatives:	
Llyn Brenig Area	Yellow
Llantyslio Mountain	Yellow
Moel Famau	Yellow
Develop the tourism sector by delivering six web based digital trails	Green
The Regional Economic Ambition Board will work on three key priorities: • Attracting Inward Investment • Energy & Environment Sector • Advanced Manufacturing Sector	Yellow

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[2] This is the work to redevelop the derelict Bee & Station Hotel in Rhyl into quality business accommodation.
[3] The council will publicise funding opportunities for capital projects to micro rural enterprises under the Rural Development Plan's rural Denbighshire business creation and development project.

Outcome: Towns and rural communities will be revitalised

Summary of the council's contribution to the outcome	
The work to deliver this outcome is progressing as planned; there are no outstanding issues threatening delivery.	
Improvement Activity	**Status**
Updated on:	**31.03.2013**
Year 1 projects within Town Plans will be delivered with our support	Green
Finance plans for projects will be developed for subsequent years	Green

Outcome: Deprivation in parts of Rhyl and upper Denbigh will be reduced

Summary of the council's contribution to the outcome	
Three physical regeneration projects in Rhyl identify an orange status, which means that successful delivery of the project is in doubt with major risks or issues apparent in a number of key areas. The harbour issue relates to benefits realisation causing the orange status and the Rhyl Housing Improvements relate to compulsory purchase orders delaying the project. Recent development with the Honey Club in Rhyl sees demolition works commence on site following the arrangement of a letter of guarantee from Denbighshire County Council to Mansells.	
Improvement Activity	**Status**
Updated on:	**31.03.2013**
Parental support for deprived 0-4 year olds[4]	Green
Delivery of Welfare Rights Support in Denbighshire[5]	Green
Delivery of additional Welfare Rights Support in Denbighshire[6]	Green
North Denbighshire Cluster bid for Communities First Scheme[7]	Green
Rhyl Harbour development	Orange
Honey Club Hotel development in Rhyl	Orange
West Rhyl Housing Improvement Project	Orange

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[4] A conglomerate of activity from outcome four in the Big Plan where parents will have access to Health Visitors, Language & Play sessions, Childcare, and Parenting Programmes.
[5] The delivery of free phone advice lines, office interviews, home visits, outreach services, and representation at appeal tribunals will be available to families, children, young people, and communities.
[6] The provision of information on housing and employment rights, resolving personal debts, money management, saving energy, and accessing other relevant family and community services to families, children, and young people.
[7] The North Denbighshire Cluster is made up of parts of Rhyl and part of Upper Denbigh.

Priority: Improving performance in education and the quality of our school buildings

Outcome: Students achieve their potential

Summary of the current position for the outcome

The overall position for this outcome is unchanged from the last quarter, and remains positive. We are using a higher benchmark for excellence in educational attainment than we use for other comparable indicators throughout the Corporate Plan (best in Wales instead of top quarter of councils in Wales). Using this benchmark, Denbighshire has an "excellent" or "good" status for three of the five attainment indicators. The two remaining attainment indicators fall below the upper quartile in Wales, which represents an "acceptable" status.

The percentage of pupils that leave without an approved qualification remains a "priority for improvement". In order for our position to become "acceptable" in future years, a reduction of around 0.5% (from our current position of 0.82%) looks necessary.

Indicator	Status
Updated on:	**30.04.2013**
% pupils achieving the level 2 threshold or vocational equivalents	Excellent
% pupils achieving the level 2 threshold, inc English/Welsh & maths	Acceptable
Average Capped Points Score for pupils at Key Stage 4	Good
% pupils who achieve the Core Subject Indicator at Key Stage 4	Good
% pupils who achieve the Core Subject Indicator at Key Stage 2	Acceptable
% pupil attendance in primary schools	Excellent
% pupil attendance in secondary schools	Excellent
Average number of school days lost per fixed term exclusion	Excellent
% all pupils that leave without an approved qualification	Improvement Priority

Summary of the council's contribution to the outcome

Overall, we are confident that the council has systems in place to support students to achieve their potential. The service has carried out a thorough analysis of its performance in previous years and has proposed robust excellence thresholds and interventions for all performance measures. The majority of performance measures are currently "acceptable" or a "priority for improvement", which simply reflects the reason why they have been included in the Corporate Plan (i.e. we want to improve from the current position). Due to the fact that they require improvement, we would not expect these to be "good" or "excellent" at this stage. The service is currently working to clarify when it would expect the status of these performance measures to improve, so that we can analyse our progress against those expectations.

Performance Measure	Status
Updated on:	**31.03.2013**
No. of primary school places provided by mobile classrooms	Improvement Priority
No. of secondary school places provided by mobile classrooms	Improvement Priority
No. of surplus places as a % of total primary school places	Acceptable
No. of surplus places as a % of total secondary school places	Acceptable
No. of deficit places as a % of total primary school places	Improvement Priority
No. of deficit places as a % of total secondary school places	Excellent
Improvement Activity	**Status**
Updated on:	**31.03.2013**
Support and challenge governing bodies to secure strong leadership	Yellow
Work with education services in North Wales (RESIS)	Green
Works to improve Ysgol Dyffryn Ial	Green
Works to improve Ysgol y Llys	Green
Works to improve Ysgol Dewi Sant	Green
Works to improve Ysgol Twm O'r Nant	Yellow
Works to create an area school in Cynwyd	Green
Replace gymnasium used by Denbigh High School	Green
Consultation on the Ruthin Area primary education review	Green
Potensial Project to work with young people at risk of NEET	Green
Welsh in Education Strategic Plan	Green
Pilot a system for collecting data on incidences of bullying in schools	Green

Priority: **Improving our roads**

Outcome: **Residents and visitors to Denbighshire have access to a safe and well-managed road network**

Summary of the current position for the outcome
For the road condition indicators, we are using the benchmarking group of rural local authorities in Wales rather than all Welsh authorities. This enables us to have a more meaningful comparison with authorities who have a similar type of road network. In this context, the combined indicator for A, B & C roads for 2012/13 shows that our current position is "good" and an improvement on 2011/12.

Our focus in recent years upon rural roads is reflected by an improvement in our C roads, which are also classified as "good". However, the indicators for A and B roads show that improvement in these roads remains a "priority for improvement". Our excellence thresholds are based on projections using a rolling five-year average of the benchmarking group, and we do not yet know how the rest of the group performed in 2012/13. We may therefore find that our comparative position, for some or all of these indicators, improves once the national data is published in August.

Our ambition is to continue our improvement for each of the road condition indicatiors. By the end of the Corporate Plan our ambition is to have less than 5% of A and B roads in overall poor condition and for less than 10% of C roads to be in overall poor condition. It is hoped that this level of improvement will result in our comparative postion being "excellent", but our main focus is on continuing our own imnprovement rather than the comparison with others.

The data for the satisfaction indicators comes from the 2011 Residents Survey, and updated results will come from the next survey, which will take place during the Summer of 2013. We hope that this will show an improvement in peoples' perception of the road condition in Denbighshire relative to the actual improvements shown by the road condition indicators. We have taken the view that these satisfaction indicators should be a "priority for improvement" if less than 50% of residents are satisfied that roads are maintained to be in good condition. Conversely, the position will be "excellent" if more than 75% of residents are satisfied. Although satisfaction declined slightly in 2011 from 2009, the position is still deemed to be "acceptable" for main roads (61% satisfied) and "good" for streets in towns and villages (63% satisfied). |

Indicator	Status
Updated on:	**31.03.2013**
% satisfaction (Resident's Survey): maintain main roads in good condition	Acceptable
% satisfaction (Resident's Survey): maintain streets in towns & villages in good condition	Good
% satisfaction (Resident's Survey): maintain rural roads in good condition	New for 2013
% A, B & C roads that are in overall poor condition	Good
% principal A roads that are in overall poor condition	Improvement Priority
% non-principal/classified B roads that are in overall poor condition	Improvement Priority
% non-principal/classified C roads that are in overall poor condition	Good
% key routes where a drop-curb route is in place	No data

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Summary of the council's contribution to the outcome

Denbighshire's position is "excellent" for the percentage of damaged roads and pavements made safe within target time, and is "good" for the number of successful claims concerning road condition during the year. Two further performance measures have an "acceptable" status. The percentage of category C (street works) inspections carried out before the guarantee period ends has reduced from 42% in 2011-12 to 29% in 2012-13, but still represents "excellent" performance. The measure about the proportion of our annual structural maintenance expenditure spent on planned structural maintenance aims to measure the ratio of planned vs. reactive work. However, some further work is required to define what data to include in this measure, and reporting will therefore begin in 2013-14.

The work to formalise plans for dropped kerbs has not been developed, and the project therefore has a red status. This is a concern as it has a clear association with an indicator for this priority (see page 7) and it forms a key contribution to the council's Strategic Equality Plan. This issue was discussed in the Quarter 3 Performance Report, and the service has since committed to the prioritisation of this work in 2013/14.

Performance Measures	Status
Updated on:	31.03.2013
% planned Highways Capital Maintenance Programme achieved	Acceptable
% of planned spend spent on planned structural maintenance	No data
% timeliness of category C (Final) Street Works inspections	Excellent
% damaged roads and pavements made safe within target time	Excellent
% road condition defects (CRM queries) resolved within timescale	New for 2013
No. of successful claims concerning road condition during the year	Good
Improvement Activity	Status
Updated on:	31.03.2013
Focus capital maintenance on roads important to communities	Green
Resurfacing works	Green
Surface dressing works	Green
Major reconstruction of the B4391 in the Berwyn mountains	Green
Review preparations for Highways Winter Maintenance	Green
Formalise plans for dropped kerbs	Red

Priority: Vulnerable people are protected and are able to live as independently as possible

Outcome: Vulnerable people can live as independently as possible

Summary of the current position for the outcome

The most recent data shows significant improvement in the proportion of the adult population who can live independently in Denbighshire. The projected data offers a positive "good" status when compared across Wales. To date, the primary focus has been on developing short-term support that enables people to live independently and reduce the need for long-term care/support (reablement). This approach is starting to show success in Denbighshire.

To continue improvements, the service is taking a strategic focus to reduce dependency for people already in receipt of services. This will require continued investment in developing appropriate community based activities and support networks that promote and support people to live independent lives. The approach is in line with the new Social Services and Wellbeing Bill. However, it will inevitably mean some tough decisions about how we remodel existing services, which will require close working with communities and elected members.

The most recent data for the proportion of the adult population who cannot live independently identifies the overall position in Denbighshire as a "priority for improvement", although the data shows signs of improvement. Historically Denbighshire has had a higher rate of people living in a care home setting than most Welsh Authorities. The council has a long-term plan to reduce the need for new admissions into care homes. The data relating to new placements shows that there is a clear reduction in the total numbers of older people requiring residential care support and an overall improvement on the previous year. The publication of national data in the summer will help us to understand when our improvement activity is likely to result in this indicator becoming "acceptable", or better. It is anticipated that there will be a staged approach to improving the position for the different age cohorts.

The indicators relating to the percentage of people who receive particular care options both show the current position as being "excellent".

Indicator	Status
	Updated on: **31.03.2013**
% adult population who live independently	Good
% adult population who cannot live independently	Improvement Priority
% who receive modern supportive options	Excellent
% who receive traditional care options	Excellent

Summary of the council's contribution to the outcome
The known performance data presents a positive "excellent" status for the council's contribution in the areas to support people to live independently.
Comparative annual data for users of assistive technology is unavailable, thus any analysis to offer a potential excellence threshold based on the council default methodology is also unavailable. The Corporate Improvement Team will work with their counterparts in Adults and Business Services to determine a local excellence threshold for this performance measure in time for the next quarterly performance report and our annual performance report for 2012/13.

Performance Measures	Status
Updated on:	31.03.2013
Rate of delayed transfers of care for social care reasons	Excellent
No. new placements of council supported adults in care homes	Excellent
No. service users in receipt of assistive technology	395
% adult clients no longer needing a social care service	Excellent
Average number of calendar days taken to deliver a DFG	Excellent
Improvement Activity	**Status**
Updated on:	31.03.2013
New Work Connections Project	Green
Commissioning to deliver short-term support	Green
We will research Individual Service Funds	Green

Outcome: Vulnerable people are protected

Summary of the current position for the outcome
The council can be reasonably confident that vulnerable people are being protected. Based on the selection of indicators, the known vulnerable people cohort is being protected to what is comparably an "excellent" and "good" position.

Indicator	Status
Updated on:	31.03.2013
% adult protection referrals completed & the risk has been managed	Excellent
% referrals that were re-referrals within 12 months (children)	Good

Summary of the council's contribution to the outcome

The council aims to improve on the "acceptable" position for the timeliness of child protection reviews, to ensure that they all are carried out within statutory timescales. This is important as timely reviews are essential as part of effective care planning in order to safeguard and promote the welfare of children.

The percentage of initial core group meetings held within 10 days of the initial child protection conference is also identified as "acceptable". This is an important performance measure as it highlights the safeguarding of children through timeliness of core group meetings. The status has improved since the beginning of the year.

The ambition for all performance measures in this outcome is to get to an "excellent" position as soon as possible, and work to maintain this. Depending on the performance of other authorities in Wales, our projections suggest that this might be possible during 2013-14.

A comprehensive mapping activity has been undertaken to profile children with disabilities and their families in order to understand the challenges and issues they face. Work is now underway to translate the findings of this activity into a clear commissioning strategy to target support to families facing challenges or complex needs. In addition, work is also underway to expand the Family Support Service from a 5-day week to a 7-day week waking hour's service.

Performance Measures	Status
Updated on:	31.03.2013
% child protection reviews carried out within statutory timescales	Acceptable
% open cases of children on CPR[8] with an allocated social worker	Excellent
% initial core group meetings held within 10 days of the initial CPC[9]	Acceptable
Improvement Activity	Status
Updated on:	31.03.2013
Referrals & on-going cases to identify vulnerable children & families	Green
Targeted support to families facing challenges or complex needs	Orange
Training Events: All Wales Adult Protection Policy and Procedures	Green
Training Events: Child Protection	Green

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[8] Child Protection Register
[9] Child Protection Conference

Priority: Clean and tidy streets

Outcome: To produce an attractive environment for residents and visitors alike

Summary of the current position for the outcome
The position with this outcome is unchanged since the last quarter. Denbighshire has an "excellent" status for the Cleanliness Index (and has done since 2009). However, instances of fly tipping in the county appear to be high in the context of Wales and this is therefore highlighted as a "priority for improvement". Although the rate in Denbighshire has reduced slightly over the past two years, a considerable change would be required in order for this indicator to improve to a comparatively "acceptable" status. However, the service believes that we are reporting this indicator differently from other councils because we are self-reporting our street cleaning activities in addition to incidents reported by the CRM system.   Our ambition would be to continue the recent reduction in the rate of fly-tipping as behaviour in the community changes. However, the indicator may not work as a comparison (for the reasons explained above) and we may therefore need to take a local view as to what "excellence" looks like. The majority of the indicators for this outcome are new for 2013 and are based either on the perceptions of residents (from the 2013 Residents' Survey) or the perceptions of City, Town & Community Councils.

Indicator	Status
Updated on:	**31.03.2013**
% satisfaction: the cleanliness of the streets (local area)	New for 2013
% satisfaction: the cleanliness of the streets – dog fouling (local area)	New for 2013
% satisfaction: the cleanliness of the streets (nearest town)	New for 2013
% satisfaction: the cleanliness of the streets– dog fouling (nearest town)	New for 2013
% satisfaction: the cleanliness of the streets (C,T&CC[10] area)	New for 2013
% of C,T&CC who report improvement with dog fouling	New for 2013
The Cleanliness Index	Excellent
The rate of reported fly tipping incidents reported per 1000 population	Improvement Priority
Clean Streets Survey – Improvement Areas	New for 2013

Summary of the council's contribution to the outcome
Following discussions about the Quarter 3 Performance Report, we have now changed the way we report on fixed penalty notices. Instead of expressing fixed penalty notices issued for dog fouling as a percentage of all fixed penalty notices, we are now expressing both measures as a rate per 1000 population to create a comparable dataset across Wales. Using this dataset, the excellence thresholds have been set using the council's default methodology. The comparable data currently suggests an "excellent" position for all fixed penalty notices and a "good" position for fixed penalty

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[10] City, Town and Community Council

notices issued for dog fouling. One of the reasons for the including this Corporate Priority was to tackle the dog fouling issue, something that was strongly expressed during many consultation and engagement sessions.

The percentage of reported fly tipping incidents cleared within 5 working days is currently a "priority for improvement". The past three years have seen a decline in performance, from 100% in 2009-10 to 90.05% in 2012-13. In order to return to an "acceptable" status, the council would need to improve to around 95%. However, the service believes that our recording mechanism (via the CRM system) makes our performance appear worse than it is. The measure counts how long it takes from when the incident is recorded to when it is closed on the CRM system (rather than when the incident was actually cleared, which can be days earlier). The service hopes that a way can be found to improve the recording of this data so that the reporting reflects our performance more accurately.

The new local performance measure to provide a picture of the timeliness for the resolution of untidy land incidents is "acceptable". There has been a decline from a high of 83% in 2010/11 to a low of 56% in 2012/13. The service has identifies 50% as the threshold below which this would become a "priority for improvement".

The measure on enforcement by the council on untidiness in council house gardens is new for 2013-14, and discussions are currently taking place with the service to establish suitable thresholds. The measure on responding to litter notifications is also new for 2013/14, and the service is currently working to confirm the scope of the measure and define suitable thresholds.

Performance Measures	Status
Updated on:	**31.03.2013**
The rate of fixed penalty notices (all types) issues per 1000 population	Excellent
The rate of fixed penalty notices (dog fouling) issues per 1000 population	Good
Average Response time to litter notifications (including dog fouling)	New for 2013
% reported fly tipping incidents cleared within 5 working days	Improvement Priority
The percentage of untidy land incidents resolved within 12 weeks	Acceptable
Enforcement by the council on untidiness in council house gardens	New for 2013
Improvement Activity	**Status**
Updated on:	**31.03.2013**
More enforcement officers on the streets	Green
We will increase publicity on the issue of dog fouling	Green
We will provide sufficient waste bins	Green
We will review our street cleansing methods	Green
We will work proactively to improve cleanliness of neighbourhoods	Green

Priority: Ensuring access to good quality housing

Outcome: The housing market in Denbighshire will offer a range of types and forms of housing in sufficient quantity to meet the needs of individuals and families

Summary of the current position for the outcome

The indicators for this outcome have now been defined. The research carried out by Glyndwr University provides figures for projected housing requirement in Denbighshire over the five years until 2015/16. The service has analysed the projected data and provided an assessment of appropriate thresholds to indicate the status of the housing requirement in Denbighshire:

Excellent	Over 75% of required housing provided
Good	50 – 75% of required housing provided
Acceptable	25 – 50% of required housing provided
Improvement Priority	Less than 25% of required housing provided

The actual new build completions for 2012/13 is based on the 2011/12 data as the Welsh Government introduce one year's arrears into the data to improve future data accuracy across Wales.

Based on the data and identified thresholds, the pertinent area of concern is the availability of private affordable housing in Denbighshire, a "priority for improvement", with only 16 units produced against a requirement of 114 (or 14% of required affordable housing).

The supply of market housing is "acceptable" with 151 units produced against a requirement of 349 (or 43% of required market housing) and the supply of social housing is "good" with 44 units produced against a requirement of 60 (or 73% of required social housing).

Indicator	Status
	Updated on: 31.03.2013
The current supply of social housing	Good
The current supply of affordable housing	Improvement Priority
The current supply of market housing	Acceptable

Summary of the council's contribution to the outcome

Several of the performance measures relating to this outcome are currently "excellent" or "good", although three are identified as being a "priority for improvement".

A reduction in the timely determination of householder planning applications means that this is now considered to be a "priority for improvement". This has decreased from 90% in 2011/12 to 82% in 2012/13.

The supply of housing land is linked to the Local Development Plan (LDP). Now that the LDP has been adopted, it is expected that the measure for the supply of housing land in Denbighshire will improve during 2013-14.

Fourteen core Key Performance Indicators (KPIs) have been identified to benchmark with HouseMark. The most recent data shows that the council has seven (50%) within the top quartile, which is the identified threshold for being a "priority for improvement". The ultimate ambition is to have all core KPIs within the top quartile.

Performance Measures	Status
Updated on:	**31.03.2013**
% HMO[11] with full licence or a licence with conditions	Excellent
% private sector dwellings returned to occupation	Excellent
% householder planning applications determined within 8 weeks	Improvement Priority
% additional affordable housing units granted planning permission	16%
Supply of housing land by joint housing land availability study	Improvement priority
% potentially homeless households with homelessness prevented	Excellent
% council properties achieving Welsh Housing Quality Standard	Good
No. core KPI benchmarked in HouseMark that are in the top quartile	Improvement Priority
Improvement Activity	**Status**
Updated on:	**31.12.2012**
Explore housing options for people at threat of becoming homeless[12]	Green
Family support to deal with Welfare Reform Act[13]	Green
Improve the Housing Options website	Green
Continue to licensing HMOs	Green
External refurbishment of 25 properties in Rhyl[14]	Green

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[11] House in Multiple Occupation

[12] The council will explore options such as affordable housing and private renting with people who are under threat of becoming homeless.

[13] The council will seek to identify individual residents who will be adversely affected by the Welfare Reform Act and contact them to discuss specific options for dealing with the effects.

[14] The council will undertake external refurbishment of 25 properties at Millbank Road and Norman Drive, Rhyl, including front boundary walls, in addition to the replacement of windows for two listed buildings in Russell Road, Rhyl.

Priority: Modernising the council to deliver efficiencies and improve services for our customers

The Modernisation Programme defines what is meant by each outcome within this priority, and a programme definition document has been developed and approved. The indicators and performance measures have now been identified, although reporting on some will not begin until Quarter 1 2013/14. This report lists all the indicators and performance measures and, where possible, data for them, as well as activities that were identified to support the priority in the Corporate Plan Delivery Document 2012/13. Some areas of activity are likely to change for 2013/14, due to the fact that the programme has developed and its purpose has become better defined. For example, the element on community engagement is now less prominent as the programme has evolved to focus on service quality and enhancing efficiency.

Outcome: Services will continue to improve and develop

Summary of the current position for the outcome
The indicators focus on public perception, external regulation, and performance management (both in terms of day-to-day service and in terms of projects). The indicators and measures relating to projects are new but important areas, so should be closely monitored as we proceed through this plan. The status of the indictors from the Residents' Survey relates to data from 2011, and will be updated following the new survey in the summer of 2013. It is hoped that we will see an improvement in public perception since 2011. In relation to complaints, our process will be re-launched in June 2013. The reason for this is that we don't believe all complaints are currently captured on the system, but we want to foster a culture of welcoming complaints as a mechanism for improving and developing our services. Therefore we anticipate an increase in the number of complaints in the short term. As our recording processes improve, and as we respond to complaints effectively, it is hoped that the volumes will decrease. Discussions to establish what these volumes might be are due to be held early June, and Excellence and Improvement thresholds set accordingly.

Indicator	Status
Updated on:	**31.03.2013**
% of Modernisation project outputs expected to achieve their anticipated benefits at and beyond their Post Implementation review (any stage)	New Indicator for 2013/14
The percentage of people that agreed with the statement: My council is efficient and well-run	Acceptable
The percentage of people that agreed with the statement: My council acts on the concerns of residents	Acceptable
Number of statutory recommendations made by the Wales Audit Office	Excellent
The percentage of the Outcome Agreement grant awarded to Denbighshire by the Welsh Government	Excellent (100% awarded in 2012/13)
The number of complaints received	660

Summary of the council's contribution to the outcome

The council has significantly improved in terms of responding to complaints within corporate timescales during the year, from 78% in 2011-12 to 91% in 2012-13. Performance has also improved in Quarter 4, from 91% in Quarter 3 to 95% in Quarter 4. We consider anything above 95% to be "excellent", so good progress is being made in this area.

Much of the activity is based on improving systems that we already have (e.g. Complaints, the website) in order to improve services for our customers. Where the RAG status is Yellow, this reflects short delays in the completion of the activity, but completion of the tasks is imminent.

The activity currently generating an orange status is concerned with the transfer of assets. Due to changes in personnel, this hasn't been pursued to the extent that Denbighshire would have liked, but nor did it have specific goals for asset transfer associated with it. This will be addressed now that dedicated resource has been identified. However, the activity is unlikely to feature as part of this priority in future.

Performance Measure	Status
Updated on:	31.03.2013
The % of complaints responded to within corporate timescales	Good
The percentage of Modernisation projects that were due a post-implementation review this quarter that have been subject to one	New Measure for 2013/14

Improvement Activity	Status
Customer Service Standards[15]	Green
System to improve customer communication[16]	Yellow
Customer feedback[17]	Yellow
Self analysis from improvement tools[18]	Green
We will introduce "The Hwb"	Green
We will develop a new website for the council	Green
Town & Community Councils: Charter[19]	Green
Town & Community Councils: (co) managed assets[20]	Orange
Map services for childcare provision and 11-25 year olds	Yellow

[15] To develop Customer Service Standards and publish them so our customers know what level of service they can expect from us.
[16] To implement a system that will allow customers to share their ideas with the council and improve their local area.
[17] To use feedback as a learning mechanism and improve services.
[18] To analyse learning from improvement tools, sharing experiences and skills across the council.
[19] The Charter between the council and Town & Community Councils (T&CCs) will be further developed, launched, and promoted among staff.
[20] The council will ask all T&CCs if they would like to take up any opportunities for managing (or co-managing) community assets and services.

Outcome: More flexible and effective workforce supported by cost efficient infrastructure

Summary of the current position for the outcome

The indicators for this outcome have now been agreed. Some focus on staff perception of the organisation (assuming that a workforce with good leadership and the appropriate resources will be an effective workforce). Other indicators focus on staff sickness absence (assuming that low absence also demonstrates effectiveness); our infrastructure; and how we can encourage behaviour change to reduce our operational costs (e.g. channel shift should reduce our transaction costs).

Sickness absence is currently considered to be "acceptable" at an average of 8.66 days per FTE. This average does not reflect a typical service position: some services perform well under the average (and are "excellent"); while others far exceed it (and remain a "priority for improvement"). Average sickness absence within our services ranges from 2.93 per FTE to 14.27 per FTE.

Responses to the staff survey questions in 2011 were positive, with 94.4% agreeing that "I know what is expected of me", and 96.1% agreeing that "I have the skills to do my job effectively". These questions were repeated in the 2013 staff survey, and the results will be available for the Annual Performance Report in October 2013.

Indicator	Status
Updated on:	**31.03.2013**
The percentage of staff responding positively to the statement, 'I know what is expected of me'	Good
The percentage of staff responding positively to the statement, 'I have the skills to do my job effectively'.	Excellent
The number of working days/shifts lost to sickness absence across the organisation per FTE	Acceptable
The number of key tasks prioritised for channel shift that take place through the web	New for 2013/14
The % of staff responding positively to the statement: 'I have access to the information and IT that I need to work efficiently'	New question - Staff Survey 2013

Summary of the council's contribution to the outcome

Some of the agreed performance measures are new and we will be tracking them throughout this Corporate Plan. New activity will be geared towards positively affecting these measures.

The purpose of the performance measure on carbon emissions is to understand whether our buildings are becoming more efficient. The original proposal to measure total volume of carbon emissions is therefore inadequate as it will simply reduce if we dispose of buildings (rather than tell us whether we are becoming more efficient). It has therefore been decided to change this measure to focus on carbon emissions per m^2 of office space. Work is now being undertaken in the service to provide data for this and establish appropriate thresholds.

For the same reason, it has been decided to review the performance measure about the amount of office space occupied by the council. The original proposal (to measure the total space occupied) tells us nothing about how efficiently we utilise our office space. This measure has therefore been

amended to focus on the amount (m2) of office space occupied per FTE. Again, work is now being undertaken in the service to provide data for this and establish appropriate thresholds.

Our timely completion rate for performance appraisals is identified as a "priority for improvement", as our current performance is 92.28%. Corporately it has been agreed that anything below 95% for completed appraisals is a "priority for improvement".

Business miles not only represent remuneration expense for the authority, they also represent 'down time' where people could have been working rather than travelling. Technology such as videoconferencing will help change our behaviour. Some benchmarking against other authorities has been done, and a discussion as to where to place the thresholds needs to take place.

Existing activity has largely laid the platform for us to begin to address our operational costs, so it's encouraging to know that most of them are progressing according to plan in terms of time and cost. The work styles task has taken longer than expected, but is due for completion at the end of July. The delay has been due to an expansion of the scope: originally a task to establish current work styles of staff; work is now being done to better explore the potential for flexible work styles across the council, hopefully resulting in staff being classed as 'flexible'.

Performance Measure	Status
Updated on:	31.03.2013
The percentage of staff that have a Mobile work style and have remote access to their work IT systems	New measure for 2013/14
Carbon emissions per m^2 of office space	Revised for 2013/14
Office space occupied by Denbighshire County Council (metres squared)	Revised for 2013/14
The average number of business miles recorded per FTE	945.2
The percentage of proposed savings through the Modernisation programme achieved	New measure for 2013/14
The percentage of performance appraisals due that were completed in the past 13 months.	Improvement Priority
Improvement Activity	Status
Expansion of wireless provision in council buildings	Green
Continued removal of all desktop printers	Green
Develop "work-styles" for all council roles and equip to deliver roles[21]	Yellow
Service Performance Challenge[22]	Green
Analysis from Office Rationalisation[23]	Green

[21] The development of 'work-styles' for all council roles (e.g. office-based, mobile and home-based) and equip them with skills and technology to make them flexible and efficient.
[22] Improve our use of benchmarking information to support Service Performance Challenges.
[23] To analyse lessons learnt from office rationalisation, hot-desking, electronic document management, and pilots in mobile working technology as a foundation for rolling out flexible working across the council.

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r:	**Pwyllgor Archwilio Perfformiad**
Dyddiad y cyfarfod:	**20 Mehefin 2013**
Aelod/Swyddog Arweiniol:	**Aelod Arweiniol dros Foderneiddio a Pherfformiad/Pennaeth Cynllunio Busnes a Pherfformiad**
Awdur yr Adroddiad:	**Swyddog Gwelliant Corfforaethol**
Teitl:	**Y Gofrestr Risg Corfforaethol**

1. Am beth mae'r adroddiad yn sôn?

1.1 Adolygiad ffurfiol o'r Cofrestr Risg Corfforaethol mis Mehefin 2013.

2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

2.1 I gyflwyno'r Cofrestr Risg Corfforaethol i Archwilio Perfformiad, fel y cytunwyd gan y Tîm Gweithredu Corfforaethol (CET).

3. Beth yw'r Argymhellion?

3.1 Bod y Pwyllgor Archwilio Perfformiad yn nodi'r dileadau, yr ychwanegiadau a'r newidiadau i'r Gofrestr Risg Corfforaethol, a chael cyfle i wneud sylwadau.

4. Manylion am yr Adroddiad

4.1. Mae'r prif newidiadau i'r Gofrestr Risg Corfforaethol wedi'u rhestru yn Atodiad 1 sydd wedi'i atodi.

4.2. Mae'r Gofrestr Risg Corfforaethol yn galluogi'r cyngor i reoli tebygolrwydd ac effaith risgiau drwy werthuso effaith unrhyw weithredoedd i liniaru risg, a chofnodi dyddiadau a chyfrifoldebau ar gyfer gweithredoedd pellach er mwyn sicrhau rheolaeth well.

4.3. Y Tîm Gweithredu Corfforaethol sydd â'r cyfrifoldeb am y Gofrestr Risg Corfforaethol a'r Tîm sydd wedi'i datblygu. Mae'r broses adolygu fel a ganlyn:

– Anogir gwasanaethau i adolygu eu cofrestr risg ddwywaith y flwyddyn (yn unol â methodoleg rheoli risg corfforaethol) cyn bob adolygiad o'r Gofrestr Risg Corfforaethol, a chyn eu cyfarfodydd Herio Perfformiad Gwasanaethau. Bydd unrhyw faterion neu ymholiadau yn cael eu trafod yn y cyfarfodydd Herio Perfformiad Gwasanaethau.

– Mae'r Tîm Gwelliant Corfforaethol yn dadansoddi cofrestrau risg y gwasanaethau i ganfod risgiau sy'n arwyddocaol yn gorfforaethol neu unrhyw themâu sy'n ymddangos ar draws y gwasanaethau.

- Mae'r diweddariadau am risgiau corfforaethol cyfredol yn cael eu casglu gan berchnogion y risg, a bydd diweddariadau am weithredoedd i liniaru yn cael eu casglu gan y rhai sy'n cyflawni'r gweithredoedd.

- Cynhelir cyfarfodydd unigol gyda'r Prif Weithredwr a phob Cyfarwyddwr Corfforaethol, i drafod y risgiau y maent yn gyfrifol amdanynt. Rhoddir ystyriaeth a yw'r risg yn parhau, a yw'r sgôr yn gywir, ac a oes angen cynnwys unrhyw risgiau newydd o'u hawdurdodaeth.

- Cynhelir gweithdy risg gyda'r Tîm Gweithredu Corfforaethol i adolygu risgiau cyfredol; trafod cynnydd ar weithredoedd lliniaru a gytunwyd arnynt; trafod a chytuno ar risgiau corfforaethol newydd; adolygu a diweddaru sgôr risgiau sy'n weddill; diweddaru rheolyddion sydd eisoes yn bodoli (yn dilyn gweithredoedd sydd eisoes wedi'u cwblhau); a chytuno ar unrhyw weithredoedd eraill sydd eu hangen i liniaru'r risg.

4.4 Mae'r Tîm Gweithredu Corfforaethol yn adolygu'r Gofrestr Risg Corfforaethol yn ffurfiol ddwywaith y flwyddyn. Ond, bydd unrhyw risgiau newydd neu risgiau cynyddol yn cael eu hadrodd i'r Tîm Gweithredu Corfforaethol (drwy'r Tîm Gwelliant Corfforaethol) pan fyddant yn cael eu canfod. Yna bydd y Tîm Gweithredu Corfforaethol yn penderfynu a ddylid cynnwys y risg yn y Gofrestr Risg Corfforaethol.

4.5 Yn dilyn adolygiad ffurfiol y Gofrestr Risg Corfforaethol (ddwywaith y flwyddyn), mae'r ddogfen sydd wedi'i hadolygu yn cael ei chyflwyno i'r Pwyllgor Archwilio Perfformiad.

4.6 Bydd y gweithredoedd sy'n cael eu penderfynu er mwyn delio â risgiau corfforaethol yn cael eu cynnwys yng Nghynlluniau Gwasanaethau, ble bo'n addas, sy'n galluogi i Aelodau Archwilio Perfformiad fonitro'r cynnydd. Dylid amlygu unrhyw faterion perfformiad mewn perthynas â chynnal y digwyddiadau fel rhan o broses Herio Perfformiad Gwasanaethau.

4.7 Mae Archwilio Mewnol y cyngor yn darparu sicrwydd annibynnol ar effeithiolrwydd dulliau rheoli mewnol a'r mecanwaith sydd wedi'u gosod er mwyn lliniaru risgiau yn y cyngor. Mae hefyd yn cynnig her annibynnol i sicrhau bod egwyddorion a gofynion rheoli risg yn cael eu defnyddio'n gyson ym mhob rhan o'r cyngor. Mae Archwilio Mewnol yn defnyddio gwybodaeth o gofrestrau risg gwasanaethau a'r un corfforaethol er mwyn penderfynu ar eu rhaglen gwaith i'r dyfodol.

4.8 Bydd adolygiad ac adroddiad blynyddol ar gynnydd polisi rheoli risg, wedi'i gynhyrchu gan y Pwyllgor Llywodraethu Corfforaethol, yn adnabod mannau gwan sydd angen eu cryfhau i wella'r broses o reoli risg.

5. **Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?**

5.1. Pwrpas y Gofrestr Risg Corfforaethol yw adnabod digwyddiadau posibl yn y dyfodol all gael effaith niweidiol ar allu'r cyngor i gyflawni'r amcanion, gan gynnwys y blaenoriaethau corfforaethol. Mae'r rheolyddion a'r gweithredoedd

yn angenrheidiol ar gyfer cyflawni'r blaenoriaethau corfforaethol.

6. **Beth fydd yn ei gostio a sut bydd yn effeithio ar wasanaethau eraill?**

6.1   Mae cost datblygu, monitro ac adolygu'r Gofrestr Risg Corfforaethol yn cael eu cynnwys yn y cyllidebau cyfredol.

7. **Beth yw prif gasgliadau'r Asesiad o Effaith ar Gydraddoldeb a gynhaliwyd am y penderfyniad? Dylid cynnwys templed yr Asesiad o Effaith ar Gydraddoldeb a gwblhawyd fel atodiad i'r adroddiad.**

7.1.   Mae'r Gofrestr Risg Corfforaethol yn dogfennu risgiau sydd wedi'u canfod, a'r gweithredoedd lliniaru cyfredol ac arfaethedig.   Nid yw'r broses o ddatblygu ac adolygu'r ddogfen yn cael effaith uniongyrchol ar bobl gyda rhinweddau wedi'u diogelu. Ond, dylid asesu effaith ar gydraddoldeb unrhyw broses, strategaeth neu bolisi newydd sy'n cael eu ffurfio o ganlyniad i weithred lliniaru.

8. **Pa ymgynghoriadau a gynhaliwyd gydag Archwilio ac eraill?**

8.1   Mae manylion y broses ymgynghori i adolygu'r Gofrestr Risg Corfforaethol wedi'u cynnwys ym mharagraff 4.3.

9 **Datganiad y Prif Swyddog Cyllid**

9.1   Nid oes unrhyw oblygiadau ariannol yn codi o'r broses sydd wedi'i hamlinellu yn yr adroddiad hwn i ddatblygu, monitro ac adolygu'r Gofrestr Risg Corfforaethol.

10. **Pa risgiau sy'n bodoli ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?**

10.1   Y prif risg yn berthnasol â'r broses o reoli risg yw nad yw'r cofrestrau'n cael eu hadolygu'n rheolaidd ac felly nid ydynt yn offer rheoli ystyrlon a dynamig. Ond, mae'r broses newydd wedi'i chynnwys yn llawn yn fframwaith rheoli perfformiad y cyngor a dylai sicrhau nad yw hyn yn digwydd.

11. **Pŵer i wneud y Penderfyniad**

- Deddf Llywodraeth Leol 2000
- Erthygl 6 o Gyfansoddiad y Cyngor

Swyddog Cyswllt:
Swyddog Gwelliant Corfforaethol
Ffôn: 07810 055413

Mae tudalen hwn yn fwriadol wag

The main changes made to the Corporate Risk Register are listed below:

i) Revision to DCC001, '*The risk of a serious safeguarding error where the council has responsibility*'. A control has been added, whereby the rate of completed CRB and reference checks is monitored via HR's service plan. There's a further mitigating action in terms of a proposal to develop a Corporate Safeguarding Committee.

ii) Revision to DCC004: '*The risk that the HR framework doesn't support the organisation's aims*'. The description of the impact/consequence has been expanded, and a further action has been added (to review progress against the HR Improvement Plan at Corporate Governance in June). The inherent and residual risks scores remain identical at the moment. The residual risk will be reviewed in line with progress against the Improvement Plan.

iii) Revision to DCC006: '*The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income*'. The residual risk score has increased from D2 to C2, given the Welsh Government's recent messages indicating a reduced settlement for 2014/15 (this had not been anticipated before). The actions we're taking are trying to reduce the impact. New mitigating actions refer to the introduction of financial planning meeting with services, and future integration of financial and service planning.

iv) Revision to DCC007: '*The risk that critical or confidential information is lost or disclosed*'. Two new mitigating actions here refer to the use of secure printing, and a procedure for two people to check address details are correct prior to sending out sensitive correspondence.

v) Revision to DCC011: '*The risk of a severe weather, contamination, or public health event*'. Mitigating actions updated as we move towards a regional collaboration for Emergency Planning. The council has completed a debrief and lessons learnt session following three recent incidents, and now needs to prepare an action plan. Implementation of this action plan should reduce the residual risk score.

vi) Revision to DCC012: '*The risk of a significantly negative report(s) from external regulators*'. Likelihood now considered low, but a further mitigating action is in place to integrate the Annual governance statement and corporate self-assessment into a single annual self-assessment.

vii) Revision to DCC013: '*The risk of significant financial and reputational liabilities resulting from management of some Arm's Length organisations*'. We've further articulated the impact, covering capital and property liabilities, and added a control in the form of resource being committed to support financial monitoring. Two new mitigating actions have also been added, referring to the consideration of alternatives to the Sun Centre, and the proposal for developing the Nova centre into a facility that complements other

offerings on the coastal strip.

viii) Revision to DCC014: *'The risk of a health & safety incident resulting in serious injury or the loss of life'*. Some mitigating actions now complete. This hasn't impacted on the residual risk score because the likelihood (which is the area we can affect) was already scored very low at E2, but we'd hope that our actions have further reduced the likelihood in real terms.

ix) Revision to DCC015: *'The risk that the collaborations that Denbighshire participates in don't deliver their forecast benefits and/or have an adverse impact in terms of finance and/or quality of service'*. This originally read: *The risk that the council cannot influence the collaboration agenda, and that further collaboration is forced upon on us rather than entered into voluntarily.* In light of the Review of Public Service Governance and Delivery (see DCC020), further collaboration is not currently under discussion. However, there are collaborations to which we are still committed, and this risk description has altered to reflect the risks associated with participation in collaboration.

x) Revision to DCC016: *'The risk that the impact of welfare reforms is more significant than anticipated by the council'*. The Lead Officer has changed to Paul McGrady and the Lead Member to Cllr Hugh Irving. A further mitigating action was agreed: the working group is to report its progress to Corporate Governance.

xi) Revision to DCC017: *'The risk that the ICT framework does not meet the organisation's needs, and that the technology we invest in does not deliver the intended benefits for the Modernisation programme'*. This originally read: *'The risk that ICT investment does not have the capability to deliver the efficiencies and savings required for the Modernisation priority'*. The description has evolved to echo that of the similar HR risk (DCC004), and emphasise ICT's role in supporting the organisation to recognise effective technological solutions.

xii) Revision to DCC018: *'The risk that change/modernisation project benefits are not fully realised'*. This originally read: *'The risk that change/modernisation projects are not implemented as intended, hindering benefit realisation'*. The description has evolved to emphasise that the risk is around benefits realisation with projects, rather than the project management process itself. Additional controls that are in place include the use of Verto to track benefits, and the fact that there is a Change toolkit on the intranet.

xiii) No amendments to DCC019: *'The risk that the availability of the Welsh Government's match-funding contribution towards Band A of the 21st Century Schools programme is not in line with the timescales for Denbighshire's work programme'*.

xiv) Addition of DCC020: *'The risk that the review by The Commission On Public Service Governance And Delivery negatively affects Denbighshire'*. This review was announced by the First Minister in April 2013, and is due to

conclude by the end of 2013. It could fundamentally reconstruct the way public services are delivered, thus affecting DCC structures and processes. Currently considered to score C1 in terms of inherent risk, the residual risk is identical as no mitigating has yet taken place. An action has been identified (to exploit avenues of influence), but the arrangements for carrying out the action aren't yet in place.

xv) Addition of DCC021: *'The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC'*. Since the merger of the six health boards into one, DCC has noticed some disparity between strategic agreements and operations at ground level.

Mae tudalen hwn yn fwriadol wag

Denbighshire County Council

Register Owner: Corporate Executive Team

Updated: 05.06.2013

Risk Description	Owner	Impact / Consequence	Inherent Risk	Controls to Manage Risk (in place)	Residual Risk	Further Actions	Action Owner	Action Date
DCC001 *Professional / Managerial* **The risk of a serious safeguarding error where the council has responsibility.** This risk is increasing as the environment is changing, with growing expectations around our duties in relation to 3rd party provision. CRB criteria are also changing which may increase the risk. The 'at risk' group is not limited to children here; adults are vulnerable too.	Sally Ellis Lead Member(s): Cllr Bobby Feeley	Significant reputational loss. Possible intervention by Welsh Government. Legal/compensation costs.	B2	Child protection & Safeguarding Procedures. Regular training of staff in Children & Family Services. Corporate Safeguarding Training Programme. Wales Interim Policy & Procedures for the Protection of Vulnerable Adults from Abuse. Framework of self-assessment for schools in relation to safeguarding has been established. Section 28 Audits, and annual reporting requirement for services to demonstrate how they are discharging their duties in relation to safeguarding. Section 28 audit tool in place for voluntary sector to ensure safeguarding practices are in place. Compliance with safeguarding practises is part of the annual HR audit of schools. '% of staff (school and non-school) requiring a CRB check and/or references that have them in place' is built into HR's service plan.	C2	Safeguarding is an issue corporately for Denbighshire and also where we have indirect responsibility (e.g Arms Length Companies). To manage this, a proposal is in development to establish a Corporate Safeguarding Committee. This proposal will go to the Social Services and Education Management Team in early June and, if approved, will go to CET and SLT.	Sally Ellis	Early June 2013
DCC004 *Professional / Managerial* **The risk that the HR framework doesn't support the organisation's aims.** The	Rebecca Maxwell Lead Member(s):	The council is unable to deliver the associated savings incorporated into the MTFP through the Modernisation priority if our policies don't support proposed strategy. Organisational	B2	There's a CRM in place in HR Direct to enhance efficiency and provide improved management information to identify areas of common enquiry. Workforce Planning is now embedded and	B2	Report on Improvement Plan progress due before Corporate Governance Committee in June	Linda Atkin	30-Jun-13

policies and procedures we have in place need to reflect current organisational strategy (e.g. flexible working), and HR need to offer support for those functions where the organisation requires it (e.g. restructures)	Cllr Barbara Smith	functions such as restructures might be slow to progress or even violate employment law if our managers are not effectively supported		the service is happy with its development. HR Improvement Plan in place and resources allocated. Plan lasts until December, and actions are tracked in Verto		No further action identified	N/A	N/A

DCC006	Mohammed Mehmet	The council suffers from a significant reduction in income, leading to an inability to deliver current levels of service provision.	C1	The council has no control over the global economy or the WG settlement. Therefore the inherent risk score likely to remain high. Annual, detailed budget setting process that considers economic environment The Medium Term Financial Plan (MTFP) contains different scenarios to ensure it can deal with changes in the external environment, and is considered on a quarterly basis. Communication channels opened with BCUHB. Budget-setting process and Modernistaion Board's activities raise awareness of implications of significantly reduced income due to the economic environment. Quarterly financial planning meetings between services and management accountants are in place	C2	Plans to integrate financial planning with service and performance planning are underway, for implementation in the autumn 2013	Paul McGrady	01-Oct-13
Economic & Financial **The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income.** Although we do have a 2-yr indicative settlement, this position could change but, we think, with some warning. The impact of reduced settlements for our partners (e.g. Health) could also be felt by us	Lead Member(s): Cllr Julian Thompson-Hill					No further action identified	N/A	N/A
DCC007	Hywyn Williams	Reputational damage. Criticism from external regulators (e.g. WAO conducting a review of information management across Welsh Authorities in 2012). Fines from the Information Commissioner's Office (precedent of fines of up to £130k for single breach of Data Protection Act).	B3	Our controls are particularly strong on the technical (i.e. electronic side), and work has been undertaken to improve the position with paper. The council is moving from GCSX to PSN accreditation. Mobile racking installed in the corporate stores, and archiving backlog dealt with. Staff workshops delivered on good archiving practices. Printer process now supports staff to collect printing when visiting the printer. Addresses are double-checked by a second member of staff when sending out sensitive information	C3	Three year EDRMS programme plan in place (to move essential paper records to electronic format), and delivery confidence will be reported here (via Verto)	Alan Smith	30-Sep-13
Legislative / Regulatory **The risk that critical or confidential information is lost or disclosed.**	Lead Member(s): Cllr Barbara Smith					Develop a plan for raising corporate awareness and compliance with legal retention schedules	Alan Smith	31-Aug-13
						Information Manager recruited into BPP service, and due to start in June 2013.	Alan Smith	10-Jun-13
						Use MMC as a vehicle for raising awareness of Information Management and security issues	Alan Smith	30-Sep-13
						Confirm whether the 'address checking policy' is necessary in any other services	Alan Smith	31-Jul-13

Tudalen 57

DCC011 _Environmental_ **The risk of a severe weather, contamination, or public health event.** Services plan for the impact of expected seasonal variations in weather, but severe weather events can impact on service delivery. Similarly, we put plans in place to monitor food, water and air quality, but any contaminations can impact on service delivery, as would any viral pandemics.	Rebecca Maxwell Lead Member(s): Cllr David Smith	Significant disruption to core services. Serious injury or fatality due to road network closure, poisoning or infection. Reputational risk to the council if unable to deal with issues.	D2	The control environment in this area is the joint Emergency Planning Unit (Denbighshire & Flintshire). We also contiunally review our procedures for winter highways maintenance (e.g. this was the subject of a report to Communities Scrutiny Committee in October 2012, and we recently reviewed our emergency call-out rota system). Secondary rota established and operational. Service disruption is minimised through our arrangements for business continuity and emergency planning, with separate Directors responsible for Response, Recovery, and Information	D2	A regional approach is now being taken to Emergency Planning, and is moving towards implementation	Rebecca Maxwell	31-May-13
						Action plan to be developed following debrief and 'lessons learnt' report	Steve Parker	31-May-13
DCC012 _Legislative / Regulatory_ **The risk of a significantly negative report(s) from external regulators.**	Hywyn Williams Lead Member(s): Cllr Hugh Evans	Reputational damage. Potential intervention by the WG. Significant resources may be required to be diverted to deliver immediate and substantial change.	C2	The corporate performance management framework (PMF) is the main control in this area. New system of "excellence thresholds" and "interventions" now used which is more robust than traditional target setting. Training on PMF has been delivered to Members, with a specific sessions for Cabinet and Performance Scrutiny. Head of Business Planning & Performance and Corporate Improvement Team Manager meet monthly with Wales Audit Office to understand and respond to their concerns. Steps to incorporate self assessments into council self assessment through Service Performance Challenges.	D4	Annual governance statement and corporate self assessment to be integrated into a single annual self assessment.	Alan Smith	31-Mar-14
DCC013 _Partnership / Contractual_ **The risk of significant financial and reputational liabilities resulting from**	Hywyn Williams Lead Member(s):	Capital liabilities. Property Liabilities. Reduction in levels of service provided to the community, or increased revenue costs to continue delivery. Reputation damage to the council.	B2	Council is entitled to representation on Boards, and Heads of Service providing strategic advice to facilities. Heads of Service advise DCC on	B3	Alternatives to the Rhyl Sun Centre are being considered.	Peter McHugh	31-Mar-13

Tudalen 58

| management of some Arm's Length organisations. Liabilities could arise due to financial, HR, safeguarding, or general management problems | Cllr Huw Jones | | | any emerging issues and risks. Financial support and/or subsidies being provided. Processes are in place to manage relationships between DCC and Arm's Length organisations. Intervention measures are excercised by DCC if relationships with Arm's Length organisations are difficult to manage Resources have been committed to improve financial monitoring of facilities. | | Proposal for developing The Nova into a facility to complement the Aquatic Centre to be taken to Council | Jamie Groves | 31-Jul-13 |

DCC014	Hywyn Williams	Serious injury or death of an employee and/or member of public. Significant reputational damage Substantial legal/compensation costs. Criminal prosecution of senior staff.	C2	New H&S Committee established with representation from each service, plus meetings established with groups of services. Strategic leadership provided by Corporate Director with responsibility for health and safety. Improved efficiency through on-line & phone line incident reporting. Council has existing Health and Safety Managament System(s). All DCC teams to identify their activities, consider the hazards associated with the work, describe how the risks are managed and then analyse any gaps in how they are managing the risks. These self analyses are now being monitored by the CH&S team who are going out into the workplaces and providing support, guidance and feedback where it is needed. The H&S training program focuses on in-house provision that is targeted at DCC activities. Strong Leadership process developed and in place across the organisation. Links developed with Property Services to manage property-related fire risks	E2	In-house training and face-to-face discussions between managers, staff and CH&S to deliver cultural change, including ensuring that roles, responsibilities & systems in relation to H&S management are understood by all managers / supervisors.	Steve Parker	31-Dec-13
Physical Hazards and H&S						Provide guidance and assistance to managers responsible for developing fire management systems (e.g. building managers)	Steve Parker	31-Dec-13
The risk of a health & safety incident resulting in serious injury or the loss of life. This could be as a result of an ineffective H&S management system; inadequate fire control systems (infrastructure); or inadequate fire management planning.	**Lead Member(s):** Cllr Julian Thompson-Hill					Modify the H&S intranet pages to make them more user friendly, provide specific information on roles and responsibilities and radically amend guidance documents to ensure that they provide information that can be easily understood and used to benefit the DCC workforce.	Gerry Lapinton	31-May-13
						All Heads of Service able to demonstrate that robust managerial control is being excercised in relation to all (non-infrastructure) Fire management Systems).	Steve Parker	30-Jun-13

Tudalen 60

DCC015	Mohammed Mehmet	Denbighshire may end up with a service that's more expensive to deliver, or a reduction in service quality through losing control of its services.	B2	The Partnership Toolkit can support existing and new collaboration by providing a strong governance framework, advising on Business Case development; Developing and Reviewing; and Exit Strategies and Evaluation.	B2	No further action identified		
Partnership / Contractual **The risk that the collaborations that Denbighshire participates in don't deliver their forecast benefits and/or have an adverse impact in terms of finance and/or quality of service.** When we enter collaborations we lose some control of the quality and cost of the work that we do, and nor are we directly in control of benefits realisation.	Lead Member(s): Cllr Hugh Evans							
DCC016	Paul McGrady	Potential increase in demand for services: e.g. homelessness and homlessness prevention services; housing (especially for stock which is currently scarce); benefits support / advice, etc. Reduced income from rents and council tax payments with reduced cash flow and an increase in bad debt for the authority. Increased recovery action and administrative costs. Increase in tenants' rents. Also an impact to Social Services due to Disability Living Allowance changes. This could also impact on our ability to deliver our Corporate Priorities	B2	DCC Welfare Reform Group has been meeting since April 2012. This group is cross service to ensure that information is shared and pressures identified to support our customers.	B3	Set up reporting arrangements for the group to keep Corporate Governance informed of its progress	Paul McGrady	30-Sep-13
Economic & Financial **The risk that the impact of welfare reforms is more significant than anticipated by the council.** Welfare reform has potentially significant implications for a large proportion of residents, and also on the council in terms of increased demand for services and reduced income. The actual impact is difficult to predict.	Lead Member(s): Cllr Hugh Irving							

Tudalen 61

ID	Category	Owner / Lead	Risk	Inherent	Cause/Consequence	Residual	Controls	Action	Owner	Date
DCC017 *Technological*		Sally Ellis Lead Member(s): Cllr Barbara Smith	**The risk that the ICT framework does not meet the organisation's needs, and that the technology we invest in does not deliver the intended benefits for the Modernisation programme.** If we do not invest in appropriate technology at the right price, this will hinder our capability to deliver the efficiencies and savings required for the Modernisation priority. The main risk here is around the organisation taking decisions to invest in IT infrastructure that is not effective in reducing overheads. This can happen for two reasons: 1) we don't understand the current and necessary ICT requirements, and 2) we don't accurately predict the anticipated benefits. This risk might also occur as a missed opportunity to capitalise on effective technology, if we are unaware of the capability that the technology can bring.	If we decide to invest in technology that does not sufficiently contribute to our Modernisation agenda, not only are we likely to miss our targets, but we're also going to waste money.	**B2**	ICT Strategy (developed in conjuntion with SLT) agreed. ICT Business Partners work with services to forecast their ICT needs. All decisions for investment taken at Capital Investment Strategy group. ICT Strategy offers a mechanism for estimating net savings that investments can make. Communication of the 'Run, Grow and Transform' theory helps the organisation to understand how projects might benefit the organisation. The Programme Office has introduced a Stage Review Group that offers some quality assurance for business cases, prior to their being passed on for approval. Phase 2 of the ICT Strategy approved by SLT No projects associated with the Modernisation programme will be approved without a business case. IT Steering Group established to meet quarterly Although approved in principle, as projects with the ICT Strategy Phase 2 become timely, a business case will be required.	**C2**	Arrange a peer review for ICT	Cara Williams	31-Jul-13
								Business Partners will confirm workstyle baseline and forecast future workstyles in preparation for an SLT workshop on 6 June to share experience and ambition, so that a future workstyle forecast can be developed by the end of July.	Cara Williams	06-Jun-13
DCC018 *Professional / Managerial*		Sally Ellis Lead Member(s): Cllr Barbara Smith	**The risk that change/modernisation project benefits are not fully realised.** The council currently does consistently deliver all benefits from projects. Some of the issues include: inconsistent management; resistance to change; staff behaviour and processes not changing as planned.	The forecast changes that were alluded to in business cases do not materialise and, hence, neither do their benefits	**B2**	Corporate Programme Office established. HR resource identified to support restructures. Business Partners involved in workforce planning have identified a need for Change management training, and resource has been identified to support this. Programme Manager in place for the Modernisation priority (to co-ordinate projects). Establishment of a Modernisation Board, which can track projects and their benefits. Introduction of Verto to record benefit tracking. Change toolkits, together with factsheets, are on the intranet to support managers. Business Partners are now allocated to every major change to ensure consistency.	**C2**	No further action identified	N/A	N/A

Tudalen 62

Risk	Owner / Lead Member(s)	Consequences	Current	Controls	Target	Further Action	Action Owner	Date
DCC019 **Economic & Financial** **The risk that the availability of the Welsh Government's match-funding contribution towards Band A of the 21st Century Schools programme is not in line with the timescales for Denbighshire's work programme.** Projects within the 21st Century Schools Programme are planned throughout the financial year, but rely on match-funding being available from the Welsh Government. If the WG's financial contribution is not received as aniticpated, it can affect the timing of each project, which can impact on the overall programme.	Hywyn WIlliams Lead Member(s): Cllr Eryl Williams	Delays in delivering the 21st Century Schools Programme.	B2	Regular liaison with WG and Strategic Investment Group to review progress of individual projects and the overall financial management of the longterm programme to identify potential risks in funding profiles. Establishment of a formal programme approach to manage the delivery of the 21st Century Schools programme. Projects over £5m in value are scoped out according to the Welsh Government's five case business model at development stage. Project at £5m or less are scoped out inline with the Welsh Government's business justification case documentation. Modernising Education Programme Board established, to meet monthly in the short term. SRO, Head of Service and Programme Manager have arranged for regular gateway review support from WG's Programme and Projects department (independent from WG's 21st Century Schools team)	C2	No further action identified No further action identified	N/A N/A	N/A N/A
DCC020 **Political** **The risk that the review by the Commission On Public Service Governance And Delivery negatively affects Denbighshire.** This review was announced by the First Minister in April 2013, and is due to conclude by the end of 2013. It could fundamentally reconstruct the way public services are delivered, thus affecting DCC structures and processes.	Mohammed Mehmet Lead Member(s): Cllr Hugh Evans	Restructures for DCC if services are merged. DCC becomes obsolete if councils are merged. If transition is to take place, there could be a period of instability for staff Service delivery suffers	C1	WG's methodology for consultation is yet to be announced	C1	Once it is clear what the avenues for influence will be, make arrangements to participate No further action identified	Mohammed Mehmet N/A	31-May-13 N/A
DCC021 **Partnership / Contractual** The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop	Sally Ellis Lead Member(s): Cllr Bobby Feeley	• inefficient services • gaps in service provision • delays/failure to deliver joint projects • reputational damage	B2	Establishment of a Denbighshire Health and Social Care Board, of which BCU is a member. DCC presence in Key CPG meetings and Regional Boards looking at implementing	B3	Terms of reference for the Denbighshire Health and Social Care Board to include the management of risks relating to interfaces between the two organisations	Sally Ellis	N/A

Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC		Intermediate Care & Locality working	No further action identified	N/A	N/A

Risk Assessment Criteria

LIKELIHOOD					5	4	3	2	1
Event is almost certain to occur in most circumstances	>70%	Almost Certain	A		Yellow	Orange	Orange	Red	Red
Event likely to occur in most circumstances	30-70%	Likely	B		Yellow	Yellow	Orange	Red	Red
Event will possibly occur at some time	10-30%	Possible	C		Green	Yellow	Yellow	Orange	Red
Event unlikely and may occur at some time	1-10%	Unlikely	D		Green	Green	Yellow	Yellow	Orange
Event rare and may occur only in exceptional circumstances	<1%	Rare	E		Green	Green	Yellow	Yellow	Orange

	5	4	3	2	1
	Very Low	Low	Medium	High	Very High
Service Performance	Minor errors or disruption	Some disruption to activities / customers	Disruption to core activities / customers	Significant disruption to core activities. Key targets missed	Unable to delivery core activities. Strategic aims compromised
Reputation	Trust recoverable with little effort or cost	Trust recoverable at modest cost with resource allocation within budgets	Trust recovery demands cost authorisation beyond existing budgets	Trust recoverable at considerable cost and management attention	Trust severely damaged and full recovery questionable and costly
Financial Cost (£)	<£50k	£50k - £250k	£250k - £1m	£1m - £5 m	>£5m
IMPACT					

Corporate Risk Severity Key

[Green]	Minor	Risk easily managed locally – no need to involve management
[Yellow]	Moderate	Risk containable at service level – senior management and SLT may need to be kept informed
[Orange]	Major	Intervention by SLT and / or CET with Cabinet involvement
[Red]	Critical	Significant CET and Cabinet intervention

Tudalen 65

Mae tudalen hwn yn fwriadol wag

Adroddiad i'r: Pwyllgor Archwilio Perfformiad

Dyddiad y Cyfarfod: 20 Mehefin 2013

Awdur yr Adroddiad: Y Cydlynydd Archwilio

Teitl: Rhaglen Waith Archwilio

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1.   Am beth mae'r adroddiad yn sôn?

Mae'r adroddiad yn cyflwyno drafft rhaglen waith i'r dyfodol y Pwyllgor Archwilio Perfformiad er mwyn i'r aelodau ei hystyried.

2.   Beth yw'r rheswm dros lunio'r adroddiad hwn?

I gael y Pwyllgor i adolygu a chytuno'i raglen waith i'r dyfodol a rhoi'r diweddariad i'r aelodau ar faterion perthnasol.

3.   Beth yw'r argymhellion?

Bod y Pwyllgor yn ystyried y wybodaeth a ddarperir ac yn cymeradwyo, yn diwygio neu'n newid eu rhaglen waith i'r dyfodol fel yr ystyrid briodol.

4.   Manylion am yr adroddiad.

4.1   Mae Erthygl 6 Cyfansoddiad Cyngor Sir Ddinbych yn gosod cylch gorchwyl, swyddogaethau ac aelodaeth pob Pwyllgor Archwilio, tra bo rheolau'r gweithdrefnau i bwyllgorau archwilio wedi'u gosod yn Rhan 4 y Cyfansoddiad.

4.2   Mae'r Cyfansoddiad yn amodi bod yn rhaid i bwyllgorau archwilio'r Cyngor baratoi ac adolygu rhaglen ar gyfer eu gwaith i'r dyfodol. Trwy adolygu a blaenoriaethu materion, gall aelodau sicrhau fod y rhaglen waith yn cyflwyno rhaglen dan arweiniad yr aelodau.

4.3   Arfer sydd wedi'i fabwysiadu yn Sir Ddinbych ers nifer o flynyddoedd yw bod pwyllgorau archwilio'n cyfyngu ar nifer yr adroddiadau a ystyrir mewn unrhyw un cyfarfod i uchafswm o bedwar, yn ogystal ag adroddiad rhaglen waith y Pwyllgor ei hun. Nod y dull hwn yw hwyluso trafodaeth fanwl ac effeithiol ar bob pwnc.

4.4   Gofynnir i'r Pwyllgor ystyried ei raglen waith ddrafft ar gyfer cyfarfodydd y dyfodol, fel y manylir yn atodiad 1, a'i chymeradwyo, ei diwygio neu ei newid fel yr ystyrir yn briodol gan ystyried:

- y materion a godwyd gan aelodau'r Pwyllgor
- y materion a gyfeiriwyd ato gan y Grŵp Cadeiryddion ac Is-gadeiryddion Archwilio

- perthnasedd i flaenoriaethau'r Pwyllgor/y Cyngor/y gymuned
- Cynllun Corfforaethol y Cyngor ac Adroddiad Blynyddol Cyfarwyddwr y Gwasanaethau Cymdeithasol
- bodloni'r llwyth gwaith
- amseroldeb
- canlyniadau
- materion allweddol a gwybodaeth i'w cynnwys mewn adroddiadau
- swyddogion ac/neu aelodau arweiniol y Cabinet y dylid eu gwahodd (gan ystyried oes angen iddynt fod yn bresennol neu a fyddent yn ychwanegu gwerth)
- cwestiynau i'w gofyn i swyddogion/aelodau arweiniol y Cabinet

4.5 Wrth ystyried eitemau i'w cynnwys ar y rhaglen gwaith i'r dyfodol, gall fod yn ddefnyddiol i'r aelodau ystyried y cwestiynau canlynol hefyd wrth benderfynu ar addasrwydd cynnwys pwnc ar y rhaglen waith:
- beth yw'r mater?
- pwy yw'r budd-ddeiliaid?
- beth sy'n cael ei ystyried mewn mannau eraill?
- beth sydd angen i archwilio ei wybod? a
- phwy allai gynorthwyo?

4.6 Fel y crybwyllwyd ym mharagraff 4.2 uchod, mae Cyfansoddiad y Cyngor yn gofyn i bwyllgorau archwilio baratoi ac adolygu rhaglen ar gyfer eu gwaith i'r dyfodol. Er mwyn cynorthwyo'r broses o flaenoriaethu adroddiadau, os yw'r swyddogion o'r farn fod pwnc yn haeddu cael amser i'w drafod ar raglen fusnes y Pwyllgor, mae'n rhaid iddynt wneud cais ffurfiol i'r Pwyllgor ystyried derbyn adroddiad ar y pwnc hwnnw. Gwneir hyn trwy gyflwyno 'ffurflen gynnig' sy'n egluro pwrpas, pwysigrwydd a chanlyniadau posibl y pynciau a awgrymir. Nid oes y fath ffurflen gynnig wedi dod i law i'w hystyried yn y cyfarfod hwn.

Adolygu Cyhoeddiadau'r Cyngor

4.7 Yn wreiddiol trefnwyd cyflwyno canfyddiadau'r adolygiad uchod i'r Pwyllgor yn y cyfarfod presennol.   Gan nad oedd Pennaeth y Gwasanaeth yn gallu mynychu'r cyfarfod i gyflwyno'r canlyniadau, gofynnodd a ellid cyflwyno'r eitem mewn cyfarfod yn y dyfodol.   Yn anffodus, oherwydd egwyl yr haf ac ymrwymiadau'r rhaglen waith ni all y Pwyllgor gynnwys yr adroddiad ar ei raglen fusnes nes y cyfarfod ym mis Hydref.   Gan fod Pennaeth Cyfathrebu, Marchnata a Hamdden yn cyflwyno adroddiad i Bwyllgor Archwilio Cymunedau ym mis Medi ynglŷn ag ailstrwythuro ei Adran, cynigodd bod y ddau bwnc yn cael eu cyfuno mewn un adroddiad a'u cyflwyno i'r Pwyllgor Archwilio Cymunedau ar 12 Medi.   Cysylltwyd â Chadeiryddion y ddau bwyllgor archwilio i gael eu cytundeb ar y trefniadau diwygiedig.   Cytunodd Cadeirydd y Pwyllgor Archwilio Cymunedau i gynnwys yr adroddiad ar raglen gwaith i'r dyfodol ei bwyllgor ac yn absenoldeb Cadeirydd y Pwyllgor Archwilio Perfformiad, cytunodd Is-Gadeirydd y Pwyllgor â'r trefniadau newydd.   Fel pob adroddiad pwyllgor bydd gan bob un o'r

cynghorwyr sir fynediad at yr adroddiad pan fo papurau pwyllgor y cyfarfod ar 12 Medi yn cael eu cyhoeddi.

4.8 Bydd yr aelodau'n sylwi o Atodiad 1 bod pum eitem ar raglen waith cyfarfod nesaf y Pwyllgor ar 19 Medi. Gan ystyried y wybodaeth ym mharagraff 4.3 uchod am uchafswm nifer yr adroddiadau y dylid eu hystyried mewn un cyfarfod, gofynnir i'r Pwyllgor drafod a yw'n dymuno aildrefnu unrhyw un o'r adroddiadau sydd wedi'u rhestru i'w cyflwyno yn y cyfarfod ym mis Medi, neu a yw'n dymuno derbyn unrhyw un ohonynt fel adroddiadau gwybodaeth neu ymgynghoriad tu allan i'r cyfarfod ffurfiol.

Rhaglen Gwaith i'r dyfodol y Cabinet
4.9 Wrth benderfynu ar eu rhaglen waith i'r dyfodol, mae'n ddefnyddiol bod pwyllgorau archwilio yn ystyried amserlen rhaglen waith y Cabinet. I'r diben hwn, mae copi o raglen waith i'r dyfodol y Cabinet ynghlwm yn Atodiad 2.

Cynnydd ar Benderfyniadau'r Pwyllgor
4.10 Mae tabl sy'n crynhoi penderfyniadau diweddar y Pwyllgor ac sy'n rhoi gwybod i aelodau am gynnydd o ran eu gweithrediad ynghlwm yn Atodiad 3 i'r adroddiad hwn.

5. Y Grŵp Cadeiryddion ac Is-gadeiryddion Archwilio

Dan drefniadau archwilio'r Cyngor, mae'r Grŵp Cadeiryddion ac Is-gadeiryddion Archwilio'n perfformio rôl pwyllgor cydlynu. Mae'r Grŵp yn cyfarfod am y tro cyntaf eleni ar 27 Mehefin 2013.

6. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?

Bydd archwilio effeithiol yn cynorthwyo'r Cyngor i gyflawni ei flaenoriaethau corfforaethol yn unol ag anghenion y gymuned a dymuniadau'r trigolion. Bydd datblygiad ac adolygiad parhaus o raglen waith gydlynol yn cynorthwyo'r Cyngor wrth fonitro ac adolygu materion polisi.

7. Beth yw'r prif gasgliadau'r Asesiad o Effaith ar gydraddoldeb a gynhaliwyd am y penderfyniad? Dylid cynnwys yr Asesiad o Effaith ar Gydraddoldeb a gwblhawyd fel atodiad i'r adroddiad.

Ni chynhaliwyd Asesiad o Effaith ar Gydraddoldeb er diben yr adroddiad hwn gan nad yw yn debygol fod ystyried rhaglen waith i'r dyfodol y Pwyllgor yn debyg o gael effaith andwyol neu annheg ar bobl sy'n rhannu nodweddion sydd wedi'u diogelu.

8. **Beth fydd yn ei gostio a sut bydd yn effeithio ar wasanaethau eraill?**

    Efallai y bydd angen i wasanaethau dyrannu amser swyddog i gynorthwyo'r Pwyllgor gyda'r gweithgareddau a nodwyd yn y raglen waith i'r dyfodol a gydag unrhyw gamau a allai ddeillio o'r ystyriaeth ganlynol o'r eitemau hynny.

9. **Pa ymgynghoriadau a gynhaliwyd?**

    Nid oes angen rhai i'r adroddiad hwn. Fodd bynnag, mae'r adroddiad ei hun a'r ystyriaeth o'r rhaglen waith i'r dyfodol yn cynrychioli proses ymgynghori gyda'r Pwyllgor mewn perthynas â'i raglen waith i'r dyfodol.

10. **Pa risgiau sy'n bodoli ac a oes unrhyw beth y gallwn ei wneud i'w lleihau?**

    Ni nodwyd unrhyw risgiau mewn perthynas â'r ystyriaeth o raglen gwaith i'r dyfodol y Pwyllgor. Fodd bynnag, trwy adolygu ei raglen gwaith i'r dyfodol yn rheolaidd gall y Pwyllgor sicrhau bod y meysydd risg wedi'u hystyried a'u hastudio wrth iddynt ddod i'r amlwg, ac y gwneir argymhellion gyda'r bwriad o fynd i'r afael â'r risgiau hynny.

11. **Pŵer i wneud y penderfyniad**

    Mae Erthygl 6.3.7 Cyfansoddiad y Cyngor yn amodi bod rhaid i bwyllgorau archwilio'r Cyngor baratoi ac adolygu rhaglen ar gyfer eu gwaith i'r dyfodol.

Swyddog Cyswllt:
Cydlynydd Archwilio
Rhif Ffôn: (01824) 712554
E-bost: csd_gweinyddol@sirddinbych.gov.uk

Note: Any items entered in italics have <u>not</u> been approved for inclusion at the meeting shown by the Committee. Such reports are listed here for information, pending formal approval.

Meeting		Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
19 September	1	Annual Performance Review Report 2012/13	To evaluate the performance of the council during 2012/13	Identification of trends or areas of poor performance leading to recommendations to address declining performance and realise the Council's ambition to become an excellent authority	Tony Ward	July 2012
	2	Licensing Matters	To consider the effectiveness of the new procedures for licensing following the implementation of the findings of the review of licensing matters conducted by the Internal Audit Department (with specific emphasis on taxi licensing and safeguarding responsibilities)	Monitoring of the new licensing policies and procedures to ensure that the processes are robust and effective in supporting the Council's compliance with all licensing and safeguarding legislation, and in mitigating risks to vulnerable groups who use services licensed by the Authority	Graham Boase/Nicky Jones	November 2012
	3	Provisional External Examinations and Teacher Assessments **[Education]**	To review the performance of schools and that of looked after children	Scrutiny of performance leading to recommendations for improvement	Julian Molloy	January 2013
	4	Corporate Plan QPR: Q1 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012-17	Ensuring that the Council meets its targets, delivers its Corporate Plan and the Council's services in line with its aspirations and to the satisfaction of local residents	Tony Ward	February 2013
	5	Your Voice' complaints performance (Q1)	To scrutinise Services' performance in complying with	Identification of areas of poor performance with a view to the	Jackie Walley/Steven	Feburary 2013

Tudalen 71

Tudalen 72

Meeting		Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
			the Council's complaints process	development of recommendations to address weaknesses.	Goodrum	
24 October	1	CCTV in Denbighshire	(i) To consider progress on developing the Council's CCTV function in light of the Regional Collaboration project not being progressed and the Service's performance; and (ii) To consider reducing costs, increasing income and delivery of identified savings while progressing a more equitable distribution of CCTV throughout the County (a representative from the Police to be invited to attend to outline the financial/resource benefits they realise as a consequence of using the service)	The development of an efficient and effective Service that serves the Council and residents well and delivers safer communities	Graham Boase/Emlyn Jones	April 2013 (amended May 2013)
12 December	1.	Corporate Plan QPR: Q2 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012-17	Ensuring that the Council meets its targets, delivers its Corporate Plan and the Council's services in line with its aspirations and to the satisfaction of local residents	Tony Ward	February 2013
	2	Your Voice' complaints	To scrutinise Services'	Identification of areas of poor	Jackie	Feburary

Tudalen 73

Meeting		Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
		performance (Q2)	performance in complying with the Council's complaints process	performance with a view to the development of recommendations to address weaknesses.	Walley/Steven Goodrum	2013
16 January 2014	1	Verified External Examinations and Teacher Assessments **[Education]**	To review the performance of schools and that of looked after children	Scrutiny of performance leading to recommendations for improvement	Julian Molloy	January 2013
	2	Corporate Risk Register	To consider the latest version of the Council's Corporate Risk Register following the latest formal review by CET	Identification of effective measures to address the high level risks	Tony Ward	January 2013
20 February	1	'Your Voice' complaints performance (Q3)	To scrutinise Services' performance in complying with the Council's complaints process	Identification of areas of poor performance with a view to the development of recommendations to address weaknesses.	Jackie Walley/Steven Goodrum	Feburary 2013
20 March	1.	Corporate Plan QPR: Q3 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012-17	Ensuring that the Council meets its targets, delivers its Corporate Plan and the Council's services in line with its aspirations and to the satisfaction of local residents	Tony Ward	February 2013
1 May						
June 2014	1	Your Voice' complaints performance (Q4)	To scrutinise Services' performance in complying with the Council's complaints process	Identification of areas of poor performance with a view to the development of recommendations to address	Jackie Walley/Steven Goodrum	Feburary 2013

Meeting		Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered
				weaknesses.		
	2	Corporate Plan QPR: Q4 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012-17	Ensuring that the Council meets its targets, delivers its Corporate Plan and the Council's services in line with its aspirations and to the satisfaction of local residents	Tony Ward	February 2013
	3	Corporate Risk Register	To consider the latest version of the Council's Corporate Risk Register following the latest formal review by CET	Identification of effective measures to address the high level risks	Tony Ward	January 2013

Future Issues

Item (description / title)	Purpose of report	Expected Outcomes	Author	Date Entered

Information/Consultation Reports

Date	Item (description / title)	Purpose of report	Author	Date Entered

Note for officers – Committee Report Deadlines

Meeting	Deadline	Meeting	Deadline	Meeting	Deadline
19 September	**5 September**	24 October	**10 October**	12 December	**28 November**

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Tudalen 74

Appendix 2

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
25 June	1	Ruthin Area Primary Schools Review Note: to be first report on the agenda	To seek approval for starting a formal consultation period with Ruthin Primary schools	Yes	Cllr Eryl Williams / Jackie Walley
	2	Finance Update Report	To update Cabinet on the current financial position of the Council		Cllr Julian Thompson-Hill / Paul McGrady
	3	Mental Health Partnership	to ask Cabinet to consider and agree to a new partnership between the Council and BCU to deliver Adult Mental Health services to the citizens of Denbighshire.		Phil Gilroy/Cllr Bobby Feeley
	4	Cefndy Healthcare: Future Direction & Impact of Potential loss of DWP funding	To consider options in light of risks from loss of DWP funding & need to maintain employment for vulnerable & disabled people		Cllr Bobby Feeley / Phil Gilroy / Deborah Holmes-Langstone
	5	Outsourcing ICT Services to Schools	To give an overview of the tendering process, evaluation and scoring and request the	Yes	Cllr Eryl Williams / Jackie Walley / Stephanie O'Donnell

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
			final decision from Cabinet.		
	6	Corporate Plan QPR: Quarter 4 2012/13	To monitor the Council's progress in delivering the Corporate Plan 2012 -17	Tbc	Cllr Barbara Smith / Tony Ward
	7	Final Budget Position and Revenue Outturn 2012/13	To update Cabinet on the final Budget Position and Revenue Outturn 2012/13	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	8	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator
	9	Day Services	To update Cabinet on changes to day services provided for older people	Yes	Phil Gilroy/ Cllr Bobby Feeley
	10	Integrated Family Services	To consider developments	Tbc	Cllr Bobby Feeley / Leighton Rees
	11	Vibrant and Viable Places - Funding bid for Rhyl Town Centre projects	To seek endorsement for the funding bid.	Yes	Cllr Hugh Evans / Tom Booty / Sian Owen
30 July	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	The former North Wales Hospital: a Compulsory	To approve a compulsory purchase order for the former	Yes	Graham Boase

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
		Purchase Order	North Wales Hospital		
	3	Adult Safeguarding	To consider future options for the arrangements for Adult Safeguarding	Yes	Cllr Bobby Feeley / Phil Gilroy
	4	Food Review Task & Finish Group	To approve the recommendations of the Task & Finish Group following a review into food procurement and regulatory practices.	Yes	Cllr David Smith / Hywyn Williams
	5	Developing 'An Excellent Council Close to the Community'	To consider how the Council progresses with the theme of Bringing the Council Closer to the Community	Yes	Cllr Hugh Irving / Hywyn Williams
	6	North Office Accommodation Study	To consider the work undertaken in respect of the North Denbighshire Office Accommodation Review.	tbc	Cllr Julian Thompson-Hill / David Lorey
	7	Procurement Service	To consider proposals for the merger and implementation of a three counties (Gwynedd, Denbighshire and Flintshire) procurement and category management service.	Yes	Cllr Julian Thompson-Hill / Paul McGrady

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	8	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	tbc	Scrutiny Coordinator
3 September	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Annual Performance Review 2012/13	To review the draft Annual Performance Review for 2012-13 and to recommend the report for adoption by full Council		Cllr Barbara Smith / Tony Ward
	3	Corporate Plan QPR: Quarter 1 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012 -17	Tbc	Cllr Barbara Smith / Tony Ward
	4	Vibrant and Viable Places - Funding bid for Rhyl Town Centre projects	To update members on progress.	No	Cllr Hugh Evans / Tom Booty / Sian Owen
	5	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator
24 September	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
	2	Faith Based Provision	To note the findings of the formal consultation on the faith review and to consider whether to proceed to the publication of the proposal by way of statutory notice.	Yes	Cllr Eryl Williams / Jackie Whalley
	3	Response to the Consultation on Town and Area Plans	To consider the response to the consultation on town and area plans	Tbc	Cllr Hugh Evans / Rebecca Maxwell
	4	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator
29 October	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator
26 November	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for	Tbc	Scrutiny Coordinator

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
			Cabinet's attention.		
17 December	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Corporate Plan QPR: Quarter 2 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012 -17	Tbc	Cllr Barbara Smith / Tony Ward
	3	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator
14 January 2014	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator
18 February	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
25 March	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Corporate Plan QPR: Quarter 3 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012 -17	Tbc	Cllr Barbara Smith / Tony Ward
	3	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator
29 April	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator
27 May	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention.	Tbc	Scrutiny Coordinator

Cabinet Forward Work Plan

Meeting		Item (description / title)	Purpose of report	Cabinet Decision required (yes/no)	Author – Lead member and contact officer
June	1	Finance Report Update	To update Cabinet on the current financial position of the Council	Tbc	Cllr Julian Thompson-Hill / Paul McGrady
	2	Corporate Plan QPR: Quarter 3 2013/14	To monitor the Council's progress in delivering the Corporate Plan 2012 -17	Tbc	Cllr Barbara Smith / Tony Ward
	3	Items from Scrutiny Committees	To consider any issues raised by Scrutiny for Cabinet's attention	Tbc	Scrutiny Coordinator

Note for officers – Cabinet Report Deadlines

Meeting	*Deadline*	*Meeting*	*Deadline*	*Meeting*	*Deadline*
June	*11 June*	*July*	*16 July*	*September (3)*	*19 August*

Updated 11/06/2013 - KEJ

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Progress with Committee Resolutions

Date of Meeting	Item number and title	Resolution	Progress
24 May 2013	**6. Cefndy Enterprises**	***RESOLVED –*** *(a) to note the contents of the report, and* *(b) recommend to Cabinet that Option C – to draw down the Corporate Plan capital reserve to invest in plant/machinery to replace aged existing plant - be approved as the preferred Option, with a view to assisting the business to address the challenges it faces and become a financially sustainable business without Council funding in future.*	Report scheduled to be presented to Cabinet on 25th June 2013.
	9. Annual Council Reporting Framework	***RESOLVED –*** *to receive the report and endorse:-* • *the Director's self-assessment of social care in Denbighshire.* • *the improvement priorities for 2013/2014; and* • *that draft report provided a clear account of performance.*	Annual Report scheduled for presentation to County Council on 9 July. Scrutiny's observations will be reported to the meeting.

Mae tudalen hwn yn fwriadol wag